

MINUTES  
MEETING OF THE BOARD OF TRUSTEES  
APPALACHIAN STATE UNIVERSITY

Friday, December 9, 2016  
Parkway Ballroom  
Plemmons Student Union

CALL TO ORDER: The Board of Trustees of Appalachian State University met in open session at the call of the Chair and the Chancellor on Friday, December 9, 2016, at 9:00 a.m. in the Parkway Ballroom of the Plemmons Student Union on the campus of Appalachian State University in Boone, North Carolina. Dr. Alice G. Roess, Chair, presided and called the meeting to order.

MEMBERS PRESENT:

Bradley T. Adcock  
George M. Baldwin  
James M. Barnes  
John M. Blackburn  
Susan M. Branch  
Robert C. Hatley  
Jalyn A. Howard  
Charles V. Murray  
Alice G. Roess  
D. Kenan Smith

CONSTITUENCY REPRESENTATIVES

PRESENT (ex-officio):

Carolyn J. Clark, President  
Alumni Council  
Paul H. Gates, Chair  
Faculty Senate  
Jason S. Marshburn, Chair  
Staff Senate

MEDIA PRESENT:

David Rogers  
*Blowing Rock News*  
Anna Oakes  
*Watauga Democrat*

CONFLICT OF INTEREST NOTICE: Chair Roess read the following statement:

Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me

know now. [None reported]

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RECOGNITION OF VISITORS: Chair Roess welcomed:

- Mr. David Rogers, *Blowing Rock News*
- Ms. Anna Oakes, *Watauga Democrat*

APPROVAL OF ABSENCES: Chair Roess stated that Trustees Lee Barnes, Don Beaver and Carole Wilson would be absent for personal reasons. Upon motion duly made and seconded, the Board approved the absences of Lee Barnes, Don Beaver and Carole Wilson.

APPROVAL OF MINUTES: The minutes of the September 23, 2016 meeting and the November 8, 2016 conference call meeting had been delivered to all members via email. There being no corrections, upon motion duly made and seconded, the minutes of both meetings were approved as presented.

REMARKS FROM CHANCELLOR EVERTS: (see Attachment 1)

REPORT FROM ATHLETICS COMMITTEE: Chair Roess recognized Trustee George Baldwin, Acting Chair of the Athletics Committee, for the report.

Trustee Baldwin stated the Athletics Committee met on Thursday afternoon, and heard the following reports:

#### Student-Athlete Presentation

Trustee Baldwin stated that a presentation was given by Senior Women's Track & Cross Country student-athlete, Ms. Torre Moser. Mr. Baldwin further stated that Ms. Moser gave the Committee insight regarding her experience as a student-athlete at Appalachian State University and her plans beyond graduation at the conclusion of the spring 2017 semester. He noted that Ms. Moser has made tremendous contributions to the Appalachian community and would be graduating in the spring with a degree in Nutrition.

#### Presentation by Director of Athletics

Trustee Baldwin stated that Athletic Director Doug Gillin shared with the Committee about the recent Appalachian State University NCAA public infractions report, and how the Department of Athletics addressed the issue, and is moving forward. Mr. Gillin also presented the Athletics Operations Plan, which defines 10 Operational Priorities, and sets clear operational goals through 2017. The Operational Priorities include:

1. Alignment with University Leadership
2. Departmental Master Planning
3. Financial Planning & Management
4. Culture of Compliance & Risk Management
5. Academic Achievement

6. Athletic Excellence
7. Student-Athlete Life
8. Enhanced External Relations
9. Emergence of Athletics Development
10. Facilities Master Planning

Trustee Baldwin indicated that this concluded the report from the Athletics Committee, (see Appendix E).

REPORT FROM ACADEMIC AFFAIRS COMMITTEE: Chair Roess recognized Trustee Susan M. Branch, Chair of the Academic Affairs Committee, for the report. Trustee Branch presented the following:

Research Institute for Environment, Energy and Economics (RIEEE)

Trustee Branch reported that Dr. Nicole Bennett, Interim Director of RIEEE, provided an update on Appalachian's Research Institute for Environment, Energy, and Economics. Since its inception in 2008, the RIEEE has established itself as a mechanism for promoting the development of interdisciplinary research clusters and supporting their efforts to seek external funding. The RIEEE has oversight for the Appalachian Energy Center, Southern Appalachian Environmental Research and Education Center, and the Center for Economic Research and Policy Analysis, with a staff that includes research faculty, graduate students, and a program specialist. Dr. Bennett highlighted the work of RIEEE research faculty and research clusters, provided an update on recent accomplishments related to the three-year plan, and shared some goals for the future.

College Update

Trustee Branch reported that Dr. Melba Spooner, Dean of the Reich College of Education, provided a College update and two undergraduate students from the College of Education (Judson MacDonald, a Senior Spanish Education major, and Kari Johnson, a Junior Elementary Education major) shared some of their transformational experiences and opportunities associated with the Appalachian Community of Education Scholars (ACES) program. Dean Spooner shared an interesting fact: there is at least one teacher in every single county of North Carolina who is an Appalachian College of Education graduate.

One significant update shared by Dean Spooner and Dr. Robin Groce is that in response to House Bill 1030, as adopted by the General Assembly of North Carolina, the Board of Governors for the UNC System has selected the Reich College of Education as one of eight quality educator preparation programs to establish a laboratory school in the Winston Salem/Forsyth School District.

The purpose of the lab school is to improve student performance in a school system with at least 25% low-performing schools and to provide instructional experiences that specialize in teacher and principal preparation. Literacy achievement, as well as growth within the teacher and principal pipeline for North Carolina is a top priority. The College of Education is working with the identified school system to establish a demonstration school with a literacy focus. Dean

Spooner has identified a leadership team and a “Planning and Implementation Director” to initiate the effort.

### Revised Honorary Degree Policy

Trustee Branch reported that Provost Darrell Kruger presented a revised university policy for the awarding of honorary degrees. The Board of Governors has delegated to the Board of Trustees authority to confer honorary degrees and this policy revision more clearly establishes eligibility requirements and the process for review and approval of nominations. The proposed revisions are consistent with all Board of Trustees *Bylaws*.

Trustee Branch, on behalf of the Academic Affairs Committee, recommended approval of the revised policy for the awarding of honorary degrees as presented. The Committee’s recommendation was unanimously approved, (see Attachment 2).

### Update on Position Searches

Trustee Branch stated that Provost Kruger provided an update on current position searches within the Division of Academic Affairs. National searches are well underway for the positions of Dean of the College of Arts and Sciences, Dean of the Honors College and Dean of Libraries. Search committees have just been formed and charged by Provost Kruger for the positions of Dean of the Hayes School of Music, Dean of the Beaver College of Health Sciences, Associate Vice Chancellor for Enrollment Management, and Associate Vice Chancellor for Equity, Diversity and Compliance.

Trustee Branch indicated that this concluded the report from the Academic Affairs Committee, (see Appendix A).

REPORT FROM STUDENT DEVELOPMENT COMMITTEE: Chair Roess recognized Trustee John M. Blackburn, Chair of the Student Development Committee, for the report. Trustee Blackburn presented the following:

### Career Development Center

Trustee Blackburn reported that Dr. Susan McCracken, Director of Career Development and Economic Engagement gave the committee an overview of Career Development’s mission and services. She discussed current services provided by the office of Career Development and provided an update on the status of 2015-2016 goals. He stated that goals for the 2016-17 academic year were:

- complete strategic planning for 2016-2021
- assess fall 2016 “College to Career” programming
- provide off-campus “Career Treks” in Spring 2017
- secure additional external funding and
- collaborate with the Office of Financial Aid to develop a more robust and

professional Federal Work Study Program

Student Government Association

Trustee Blackburn reported that Student Government Association (SGA) President Jalyn Howard gave the Committee an update on SGA activities. Mr. Howard reported that Appalachian students have seven voting members on the Fee Committee and three on the Tuition Committee. He also highlighted the following:

- the need to educate students on the University's ability to provide a SANE nurse and the current resources that exist within Watauga County
- establishment and continuation of an on-campus early voting site
- the updated and more user-friendly SGA website
- distribution of SGA grants awarded that directly benefit the campus community
- modification to SGA's bylaws that decrease the number of senators
- student-sponsored events that have an impact

Trustee Blackburn indicated that this concluded the report from the Student Development Committee, (see Appendix C).

REPORT FROM BUSINESS AFFAIRS COMMITTEE: Chair Roess recognized Trustee George Baldwin, Chair of the Business Affairs Committee, for the report. Trustee Baldwin reported the following:

Proposed 2017-2018 Student Tuition and Fee Increases

Trustee Baldwin reported that Mr. Paul Forte, Vice Chancellor for Business Affairs, provided information concerning the proposed student tuition and fee increases for the 2017-2018 academic year. He stated that the overall tuition increase was limited to 2% for all students, except for continuing undergraduate residents for whom the increase would be zero. Specialized tuition rates for two new programs were proposed: the College of Business, Master of Science in Applied Data Analytics at a cost of \$3,600; and the College of Health Sciences, Master in Health Administration at a cost of \$3,600. The Fee Committee proposed only a 2.64% increase; however, the Business Affairs Committee of the Board of Trustees proposed to increase fees to the full 3.0% allowed by state law.

Trustee Baldwin, on behalf of the Business Affairs Committee, recommended approval of the tuition and fee increases as presented. Upon motion duly made and seconded, the addition to the tuition and fee increases were approved as presented. Trustee Jalyn Howard voted against the increases. (see Attachment 3)

Property Easements Related to the Beaver College of Health Sciences

Trustee Baldwin presented information regarding proposed real property easements for the Beaver College of Health Sciences. These easements will facilitate water service

from the Town of Boone, gas service from Frontier Gas, and electric service from Blue Ridge Electric Membership Corporation.

Trustee Baldwin, on behalf of the Business Affairs Committee, recommended approval of the easements as presented. The Committee's recommendation was unanimously approved.

Trustee Baldwin indicated that this concluded the report from the Business Affairs Committee (see Appendix B).

REPORT FROM AUDIT COMMITTEE: Chair Roess recognized Trustee Charles Murray, Chair of the Audit Committee, for the report. Trustee Murray reported on the following:

Trustee Murray reported that there was a presentation for the Committee by staff of the North Carolina Office of the State Auditor, who explained that they recently completed the 2016 ASU Financial Statement Audit Report, and that no findings were reported. The Committee also reviewed the 2016-2017 Audit Plan to include completed-to-date and in-progress reports.

Summary of Recent Internal Audits:

- Appalachian Real Estate Holdings (AREH)
- Annual Financial Audits
- Follow-up reviews
  - Ticket Operations
  - Concession Operations
  - Receipt Book Review
- Campus-wide reviews

External Financial Audits Update:

- Appalachian Student Housing Corporation
- Appalachian State University Foundation, Inc.

Trustee Murray indicated that this concluded the report from the Audit Committee (see Appendix D).

ELECTION OF ENDOWMENT FUND BOARD MEMBER: Chair Roess stated that she would like to make a motion to add the Election of Endowment Fund Board member discussion to the agenda as both Mr. Paul Forte and Trustee Don Beaver's terms had expired.

Upon motion duly made and seconded, the addition to the agenda was approved.

Chair Roess recommended Mr. Paul Forte and Trustee Don Beaver to be reelected for three-year terms. Chair Roess asked if there were additional nominations, and none were made. There being no further nominations, Chair Roess closed the nominations and asked for a vote to elect the nominees.

Upon motion duly made and seconded, and approved, Mr. Paul Forte and Trustee Don Beaver were elected to serve a three year term on the Endowment Fund Board.

RESOLUTION FOR DELEGATION OF EXPANDED AUTHORITY: Chair Roess recognized Mr. Dayton Cole, General Counsel, to address the Trustees. Mr. Cole reported that the Trustees had been provided a copy of this proposed Resolution and stated that the temporary Resolution expired that day. The purpose of this new Resolution is to delegate to the Chancellor authority to approve certain salary actions for employees exempt from the North Carolina Human Resources Act. This authority was previously delegated by the President of the University of North Carolina to the Board of Trustees.

Upon motion duly made and seconded, the Board approved the Resolution as presented, (see Attachment 4).

CLOSED SESSION: Chair Roess announced that she would entertain a motion that the Board convene in closed session. Chair Roess asked that the Chancellor, Vice Chancellors, General Counsel, Acting Chief of Staff, Director of Human Resources and the Interim Recording Secretary join the voting members in closed session.

Upon motion duly made, seconded and approved, the Board convened in closed session to:

1. prevent the disclosure of privileged information under Chapter 126 of North Carolina General Statutes or 20 United States Code 1232g of United States laws or regulations [N.C.G.S. § 143-318.11 (a)(1)];
2. prevent the premature disclosure of an honorary award or scholarship [N.C.G.S. § 143-318.11 (a)(2)];
3. consult with its attorney to protect the attorney-client privilege [N.C.G.S. § 143-318.11 (a)(3)];
4. establish or instruct the staff or agent concerning the position to be taken by or on behalf of this Board in negotiating the price and other material terms of a contract or proposed contract for the acquisition of real property by purchase, option, exchange, or lease [N.C.G.S. § 143-318.11 (a)(5)];
5. consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer [N.C.G.S. § 143-318.11 (a)(6)]; and
6. hear or investigate a complaint, charge, or grievance by or against an individual public officer or employee [N.C.G.S. § 143-318.11 (a)(6)].

PUBLIC SESSION RECONVENED: Upon motion duly made, seconded and approved, the Board reconvened in open session at 11:38 a.m.

Chair Roess recognized Trustee Branch to continue with the report from the Academic Affairs Committee.

CLINICAL MENTAL HEALTH AND COUNSELING PRESENTATION:

Provost Kruger recognized the presenters as:

*Faculty representative: Dr. Christina Rosen*

*Student presenters: Alice Perez, Juliana Blanton, Travis Woodruff  
and Mark Read-Smith*

Trustee Branch indicated that this concluded the report from the Academic Affairs Committee.

REMARKS FROM CHAIR ROESS:

Chair Roess made the following comments/announcements/reminders:

- Faculty Club will meet this afternoon in the Whitewater Lounge from 4:00 – 6:00 pm
- Commencement will take place tomorrow, December 10 at 10:00 – 11:30 am for the College of Arts and Sciences, Walker College of Business, and the Hayes School of Music.
- Commencement will take place tomorrow, December 10 at 2:00 – 4:30 pm for the College of Fine and Applied Arts, Beaver College of Health Sciences, and Reich College of Education.
- The next scheduled Board of Trustees meeting will be held on Thursday and Friday, March 23 and 24, 2017.

ADJOURNMENT: There being no further business, the meeting adjourned at 12:12 pm.

ALICE G. ROESS, Chair

By: 

Dawn Antonucci  
Recording Secretary



Board of Trustees Meeting

ATTACHMENT 1

Friday, December 9, 2016

Plemmons Student Union, on the campus of Appalachian State University

## **Remarks by Sheri N. Everts, Chancellor**

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Good morning, Chair Roess, trustees and guests. As we prepare to congratulate the newest Appalachian graduates on Saturday, I am happy to say that we have accomplished much of which to be proud since last we met.

Appalachian's leadership in sustainability is widely known, and is a consideration in every decision we make regarding curriculum, growth, community engagement and stewardship. We continue to make a name for ourselves in this fast-growing discipline, and are building a solid reputation that extends well beyond our region, across the nation and with the sustainability leaders throughout the world.

Just before the Thanksgiving break, we received the exciting news that Appalachian has been ranked *first* among master's granting institutions on the Association for the Advancement of Sustainability in Higher Education's 2016 Sustainable Campus Index.

This AASHE index ranks top-performing colleges and universities throughout the world in 17 areas and overall, as measured by the Sustainability Tracking, Assessment and Rating System (you may also have heard this referred to as STARS).

Let me repeat, Appalachian was ranked *first*, overall among *all* of the participating institutions across the world.

We also ranked No. 2 in the area of curriculum and No. 5 in the buildings or facilities category. It is quite an achievement and an honor to be recognized on such a well-regarded international index.

We continue to gain momentum in this area, and I have more good news to share. At 8:59 this morning, the university's new Director of Sustainability, Dr. Lee Ball, signed his contract. Dr. Sue Edwards led a national search for this position, and the committee hosted candidates for the position in November and December.

Additionally, Ged Moody and Debbie Covington have begun work on the 6th annual Appalachian Energy Summit. Appalachian's leadership in this event has provided a platform through which UNC campuses – together with industry partners – have avoided more than \$499 million in utility costs.

On Nov. 11, Appalachian observed Veterans Day with an emotional morning ceremony, and later that day celebrated the opening of the Major General Edward M. Reeder Jr. Student Veteran Resource Center. Opening the center is a key move forward in Appalachian's long tradition of working with veterans and their families, It will be led by Eric Gormly, a six-year veteran of the U.S Marine Corps, who assumed his role as student veteran services coordinator in June.

I would like to point out that successful grant work by our faculty (thank you faculty), and some terrific advocacy work by Student Veteran Association President Dan Pegram, resulted in our being able to hire Eric and create this center.

Nov. 11 was a busy day on campus! Also on that day, Famed actor Ben Vereen paid Appalachian a visit and led a master class on musical theatre for three theatre majors, which was open to the general public. The class is the first collaboration between the City of Morganton Municipal Auditorium and Appalachian's Department of Theatre and Dance. The three students who worked with Vereen are sophomore Roy Dale Cox of Birmingham, Alabama; sophomore Elizabeth Mason Moore of Raleigh; and sophomore Sabrina Palazzo of Cary. All have musical theatre experience. They were chosen by Derek Gagnier, an associate professor of theatre and the coordinator of the Bachelor of Arts Performance Degree, and Keith Martin, the John M. Blackburn Distinguished Professor of Theatre. Thank you, John.

The work of our faculty continues to place Appalachian in the national spotlight. Department of Geology's Dr. Cynthia Liutkus-Pierce recently published research around the age and formation of the largest assemblage of *Homo sapiens'* footprints discovered to date. Her team has determined that the more than 400 footprints are between 19 thousand and 10 thousand years old. Her team's research is now with the paleoanthropologists at the Smithsonian Institution and the American Museum of Natural History, who will explore what they tell us about the roots of human behavior.

Dr. Liutkus-Pierce has received widespread media attention, landing her on the front page of The Washington Post, and in articles in National Geographic, the Huffington Post, the Christian Science Monitor and the BBC.

This is just one story of the important research conducted by our faculty that leads to new knowledge in the field and strengthened classroom experiences for our students. There are countless examples of the work of our stellar faculty, staff and students. You will hear more later this morning from the Reich College of Education's Dr. Christina Rosen and her students.

The meaningful engagement our faculty and staff have with our students provides them with deep and rich learning experiences. It also has significant outcomes. An important initiative led by Susan McCracken in the Career Development Center and Heather Langdon in the Office of Institutional

Research and Planning has revealed some key data about the success of our students after graduation. Those of you who attended the Student Development committee meeting yesterday heard some of this information, which has also been informed by Alumni Affairs and Annual Giving as well as deans and department chairs across the university.

The First Destination project is a national effort of campuses across the nation to track what students are doing – or that first destination – immediately after graduation. The work of Susan, Heather and their colleagues at Appalachian is significant in that it builds on the national model, but pulls data from multiple sources, allowing for a more complete picture of the success of our newest Appalachian alumni. Appalachian's team has managed to track over 73 percent of Appalachian's graduates. The national standard for tracking is 65 percent.

The study is in its first year, and shows that of our 2014-15 graduates, nearly 85 percent of undergraduate and nearly 100 percent of graduate alumni are employed and/or enrolled in an institution of post-secondary education within one year of graduation. Thank you Susan and Heather, and congratulations Appalachian.

We have much of which to be proud and our momentum continues to be strong. To help provide you with timely information about the university's success in meeting our strategic goals, we are developing a scorecard that we will share with you monthly, which will include metrics associated with our major strategic initiatives, along with key points of information to help you better share Appalachian's news. We hope you will find this to be a valuable tool as you continue your ongoing work for Appalachian on so many fronts.

A few more important updates: you have likely heard that Dean Bill Pelto and Dean Fred Whitt have announced they have accepted prestigious new positions with nationally recognized organizations. While we will certainly miss them on our campus, we are very proud of their new opportunities. I am confident their new work will continue to reflect positively on Appalachian's reputation as a leader in higher education.

Provost Darrell Kruger and colleagues are moving forward with national searches for both positions. Please join me in thanking Bill and Fred for their excellent work at Appalachian.

Also, as you know, the searches for the next vice chancellor for student development and vice chancellor for advancement are well underway. Jerry Baker and the team at Baker and Associates are assisting us in identifying and attracting excellent candidates.

Bill Pelto is chairing the vice chancellor of advancement search – you see Bill, we are not letting you go just yet! And, Dr. Mike Mayfield is chairing the search for the vice chancellor of student

development. Trustees John Blackburn and Susan Branch are representing the Board of Trustees on these very important committees. Thank you, John and Susan.

Master Planning continues to move forward, as reported yesterday by Provost Kruger and Dr. Randy Edwards. I hope you are excited about the progress we have made. In addition to several campus planning sessions over the last several months, the master planning committee invited the campus community and our community partners to join us on Oct. 20 and 21. Many attendees contributed their ideas in these engaging sessions regarding the future of Appalachian's campus.

And, of course you all already know that we are bowl bound! We are excited about the national spotlight the achievements of our student-athletes will shine on the entire university as we participate in the Camellia Bowl in Montgomery Alabama on Dec. 17th. Congratulations to our student-athletes, Head Coach Scott Satterfield and his staff, Athletic Director Doug Gillin and all of our colleagues in Athletics.

This is a very exciting time made bittersweet by the passing of Yosef Club Director Gerald Adams, who was known far and wide as "Mr. Yosef." Gerald, along with Julia, "Mrs. Yosef," represents the deep love and passion Mountaineers feel for this special place. His tireless work on behalf of our students, the seemingly endless generosity of time and talent he and Julia offered so freely, and the support they have provided for student scholarships, athletics, the arts, the diversity festival and so much more has made a tremendous and lasting positive impact on our university. It is undeniable that his decades of work on behalf of the university was critical to our success. What a monumental legacy he has left us. He is greatly missed.

In closing, I would like to invite you all to attend the last Faculty Club event of the Fall Semester. The event will take place in the Whitewater Lounge, Room 220 of the Plemmons Student Union, from 4-6 p.m. today. This is an excellent opportunity for you to join with faculty from across campus and faculty emeriti in an informal setting.

It has indeed been a remarkable year at Appalachian. I wish you all a happy holiday season, and I know we are all looking forward to the many accomplishments of our students, faculty, staff and alumni in the coming year.

Thank you for your enduring support of – and advocacy for – Appalachian. Please know your support is critical to our success and to the lives of our students.

Madam Chair, this concludes my remarks. Thank you.

**POLICY PROPOSAL TEMPLATE**  
**PROPOSED REVISION TO POLICY #103.4, Honorary Degrees**

**Rationale:** To update the policy and procedures under which Appalachian State University will award honorary degrees, consistent with revised Board of Trustees Bylaws.

**1 Introduction**

1.1 The Board of Governors has delegated to the Board of Trustees authority to confer honorary degrees. This policy establishes eligibility requirements and the process for review and approval of nominations.

**2 Scope**

2.1 This policy applies to all honorary degrees.

**3 Definitions**

3.1 "Board" means Board of Trustees of Appalachian State University except where expressly indicated otherwise.

**4 Policy and Procedure Statements**

4.1 The Board may confer honorary degrees on individuals who have made exemplary contributions to Appalachian State University, the State, the nation, or the world. Recipients of honorary degrees will be recognized for their general excellence and for their extraordinary achievements in areas of human endeavor which reflect the ideals and objectives of the University. Individuals who receive honorary degrees will exemplify the University's values, vision and service mission. Honorary degrees may be awarded to outstanding citizens of the State, outstanding alumni of the University, outstanding living benefactors of the University, or individuals of national or international prominence whose contributions have enhanced society as a whole.

4.2 Honorary degrees may be conferred at Commencement, Black & Gold Convocation or at other special events as may be determined by the Chancellor. Only under extraordinary circumstances will honorary degrees be conferred in absentia.

4.3 All nominees for an honorary degree must meet one or more of the following criteria to be considered:

1. Must have made a notable contribution to society and the welfare of humankind.
2. Must have achieved distinguished success in a chosen career field or profession.
3. Must have made eminent achievement in scholarship or public affairs, or provided distinguished service or other contributions to Appalachian State University, the State of North Carolina or the nation.

4.4 No person shall be eligible to be awarded an honorary degree while that person is serving as a member of the Board of Trustees of Appalachian State University, as a member of the Board of Governors of The University of North Carolina, or as a member of the faculty, staff, or administration of The University of North Carolina or of any one of its constituent institutions; and no such person shall be eligible for such an award earlier than at the second Commencement after the termination of any such relationship with The University of North Carolina and/or any one of its constituent institutions. Such degrees should be awarded only in exceptional cases to retired faculty members or staff for career distinction achieved at Appalachian State University. Honorary degrees shall not be awarded to any person seeking or holding an elective State or federal office.

4.5 The procedure for the accepting, screening and approving of nominees and the awarding of honorary degrees is as follows:

- a. Nominees must meet established criteria.
- b. Individuals may be nominated by faculty, staff, students, alumni or friends of the university. Each nomination shall be presented in written form and shall include the name of the nominator(s), a vitae or brief biography of the proposed recipient, a description of accomplishments making the nominee worthy of an honorary degree, and any other supporting documentation deemed appropriate to assist in the deliberations.
- c. Nominations may be received by the Chancellor or the Provost and Executive Vice Chancellor. Nominations for awards to be made during a given academic year (August-May must be received on or before March 1 of the prior academic year.
- d. The Provost and Executive Vice Chancellor or that official's designee will check the credentials and eligibility of nominees.
- e. The Provost and Executive Vice Chancellor shall review nominations with an advisory committee known as the Honorary Degree Advisory Committee and composed of the following:
  1. The Dean of the college or school with academic programs most closely related to the type of honorary degree proposed to be awarded;
  2. Vice Provost for Research;
  3. A faculty member at large appointed by the Chancellor from nominations made by the Faculty Senate;
  4. A Distinguished Professor of the University appointed by the Chancellor from nominations made by the Provost and Executive Vice Chancellor;
  5. A staff member at large appointed by the Chancellor from nominations made by the Staff Senate;
  6. A student member at large appointed by the Chancellor from nominations made by the Student Government Association; and
  7. President of the Appalachian State University Alumni Association.
- f. The Honorary Degree Advisory Committee shall make recommendations to the Chancellor regarding selection of nominees to receive awards and modification of policies and procedures to improve the substance of the award and the processes for selection. The Chancellor shall select nominees for presentation to the Board and its Academic Affairs Committee.
- g. The Academic Affairs Committee will review the nominations with the Chancellor and the Provost and Executive Vice Chancellor, and make recommendations to the Board. The Committee shall evaluate each nomination against the criteria set forth above. Nominations and their consideration shall be confidential until an approved award is announced.
- h. Following Board approval, the Chancellor will inform the honoree and announce the conferral. The Provost and Executive Vice Chancellor will plan the awarding ceremony.

8. Nominators and seconders of successful candidates may be invited by the Chancellor to participate in activities honoring the candidate. Individuals must accept the degree in person within three years of approval by the Board of Trustees, unless otherwise approved by the Board of Trustees. Honorary degree recipients must commit to come to Appalachian State University to receive the honorary degree before the award will be announced, unless otherwise approved by the Board of Trustees.

9. An honorary degree recipient may be asked to be a commencement speaker. However, the two roles are separate as to process and should not be considered reciprocal.

## 5. Additional References

**6. Authority**

The UNC Policy Manual, Chapter 100.1 Delegation of Duty and Authority to Boards of Trustees

**7. Contact Information**

Dayton Cole, Office of General Counsel, 828.262.2751

**8. Original Effective Date**

June 1, 1990

**9. Revision Dates**

January 13, 1997

December 9, 2016

Approved by the Board of Trustees of Appalachian State University on December 9, 2016.



Alice G. Roess, Chair



John M. Blackburn, Secretary

**APPALACHIAN STATE UNIVERSITY**  
**Tuition and Fees**  
**Summary of 2017-18 Changes**  
**December 9, 2016**

	FY17 Rate	FY18 Rate	Increase	
			\$	%
<b>Campus Initiated Tuition Rates</b>				
Undergraduate: Resident, Continuing	\$ 4,159	\$ 4,159	\$ -	0.0%
Undergraduate: Resident, Freshmen & Transfer	4,159	4,242	83	2.0%
Undergraduate: Non-Resident	18,675	19,049	374	2.0%
Graduate: Resident	4,744	4,839	95	2.0%
Graduate: Non-Resident	17,913	18,271	358	2.0%
<b>Specialize Tuition Rates (Differential Tuition Increment)</b>				
Master of Science in Applied Data Analytics	\$ -	\$ 3,600	\$ 3,600	100.0%
Master in Health Administration	-	3,000	3,000	100.0%
<b>General Fees (Mandatory Fees):</b>				
Athletics - General	\$ 733	\$ 755	\$ 22	3.0%
Cultural Affairs	41	42	1	2.4%
Educational and Technology	546	576	30	5.5%
Health Services	294	325	31	10.5%
<b>Other Fees:</b>				
Board / Meal plans	\$ 2,660	\$ 2,714	\$ 54	2.0%
Parking	204	240	36	17.6%
Textbook Rental	280	288	8	2.9%



**Resolution for Delegation of Expanded Authority to the Chancellor  
for Certain Salary Actions  
for Employees Exempt from the State Human Resources Act**

WHEREAS, pursuant to N.C.G.S. 116-11(2), the UNC Board of Governors is responsible for the general determination, control, supervision, management and governance of all affairs of the constituent institutions; and

WHEREAS, the UNC Board of Governors has adopted policies relevant to salary actions for employees exempt from the State Human Resources Act ("EHRA employees") that delegate certain actions to the president and/or boards of trustees, and, on July 29, 2016, approved changes to Sections 200.6 and 600.3.4 of the UNC Policy Manual that raised the thresholds at which proposed salary increases for EHRA employees may be approved by the president and authorized the president to delegate all or a portion of such authorities to the boards of trustees consistent with N.C.G.S. 116-11(13), as necessary or prudent to enable the institution to function in a proper and expeditious manner; and


WHEREAS, consistent with the UNC Board of Governors' authorization, the president has determined that it is necessary and prudent to delegate to the boards of trustees of the constituent institutions the authority to approve individual EHRA employee salary adjustments within the following limits:

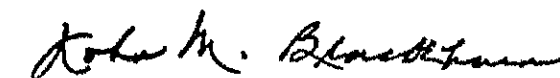
- a) A temporary salary stipend or supplement with a specified end date that does not exceed 25% and \$25,000 of cumulative salary adjustments fiscal year to-date based on the employee's June 30 salary, up to 12-months in duration; and
- b) A temporary salary stipend or supplement without a specified end date that does not exceed 20% and \$15,000 of cumulative salary adjustments fiscal year to-date based on the employee's June 30 salary; and
- c) A permanent base salary adjustment that does not exceed 20% and \$15,000 of cumulative salary adjustments fiscal year to-date based on the employee's June 30 salary; and

WHEREAS, the president has authorized the board of trustees, at its option, to further delegate any or all of the above authorities to approve salary adjustments, with the exception of adjustments for Tier I Senior Academic and Administrative Officers, to the chancellor and the chancellor's permitted designees identified in the paragraph below, as deemed necessary for the proper and expeditious operation of the institution;

NOW THEREFORE, BE IT RESOLVED that, after careful consideration, the Appalachian State University Board of Trustees hereby delegates to the Chancellor and the Chancellor's permitted designees the authority to approve EHRA salary adjustments up to the aforementioned limits. This delegation shall remain in effect until it is modified or rescinded by the Board of Governors, President, or Board of Trustees. For purposes of this Resolution, the Chancellor's permitted designees shall be the following senior officers of the institution: Director of Human Resources, Chief of Staff, and Provost.

APPROVED by the Board of Trustees of Appalachian State University on December 9, 2016.

  
Alice G. Roess, Chair

  
John M. Blackburn, Secretary

(Seal)

APPENDIX A

MINUTES  
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE  
APPALACHIAN STATE UNIVERSITY  
BOARD OF TRUSTEES

Thursday, December 8, 2016  
Room 417 – Beacon Heights  
Plemmons Student Union

CALL TO ORDER: The Academic Affairs Committee of the Board of Trustees of Appalachian State University met at the call of the Chair on Thursday, December 8, 2016, at 1:00 p.m. in the Beacon Heights Room of the Plemmons Student Union on the campus of Appalachian State University in Boone, North Carolina. Ms. Susan Branch, Chair, presided and called the meeting to order.

MEMBERS PRESENT:

John M. Blackburn  
Jalyn Howard  
Carole P. Wilson  
Alice Roess

MEMBERS ABSENT:

M. Lee Barnes, Jr.

CONSTITUENCY REPRESENTATIVES  
PRESENT (ex-officio):

Carolyn Clark, President, Alumni Council  
Paul H. Gates, Chair, Faculty Senate

OTHERS PRESENT:

Darrell Kruger	Paul Forte
Sue Edwards	Heather Langdon
Melba Spooner	Nicole Bennett
Robin Groce	Mike Mayfield
Mark Bachemeir	Debbie Covington
Willie Fleming	Leroy Wright
Amy Dellinger Page	Matthew Dockham
Neva Specht	Sheri Everts
Randy Edwards	Dayton Cole
David Rogers (BR News)	

Conflict of Interest Notice: Chair Branch read the following:

“Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today’s meeting, does anyone know that you have a conflict of interest or an

interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." [None Reported]

#### Research Institute for Environment, Energy and Economics (RIEEE)

Dr. Nicole Bennett, Interim Director of RIEEE, provided an update on Appalachian's Research Institute for Environment, Energy, and Economics. The institute was established in 2008 to enhance opportunities for faculty and students whose research interests involve the environment, energy and economics. Since its inception in 2008, the RIEEE has established itself as a mechanism for promoting the development of interdisciplinary research clusters and supporting their efforts to seek external funding. The RIEEE has oversight for the Appalachian Energy Center, Southern Appalachian Environmental Research and Education Center, and the Center for Economic Research and Policy Analysis, with a staff that includes research faculty, graduate students, and a program specialist.

When Dr. Bennett was appointed in April of this year, she met with the Deans, Chairs, and faculty members from all of the departments that interact with the RIEEE and asked them to share their vision for the institute. She then wrote a three-year plan to reflect that combined vision along with goals that are aligned with the university's strategic plan for research, teaching, and outreach. Dr. Bennett highlighted the work of RIEEE research faculty and research clusters, provided an update on recent accomplishments related to the three-year plan, and shared some goals for the future.

#### College Update

Dr. Melba Spooner, Dean of the Reich College of Education, provided a college update and two undergraduate students from the College of Education (Judson MacDonald, a Senior Spanish Education major, and Kari Johnson, a Junior Elementary Education major) shared some of their educational experiences and opportunities associated with the Appalachian Community of Education Scholars (ACES) program. An interesting fact is that there is at least one teacher in every single county of North Carolina who is an Appalachian College of Education graduate.

One significant update shared by Dean Spooner and Dr. Robin Groce is that in response to House Bill 1030 as adopted by the General Assembly of North Carolina, the Board of Governors for the UNC System has selected the Reich College of Education as one of eight quality educator preparation programs to establish a laboratory school in the Winston Salem/Forsyth School District.

The purpose of the lab school is to improve student performance in a school system with at least 25% low-performing schools and to provide instructional experiences that specialize in teacher and principal preparation. Literacy achievement, as well as growth within the teacher and principal pipeline for North Carolina is a top priority. The College of Education is working with the identified school system to establish a demonstration school with a literacy focus. Dean Spooner has identified a leadership team and a "Planning and Implementation Director" to initiate the effort.

#### Approval of Meeting Minutes

A motion was made by John Blackburn and seconded by Carole Wilson to approve the meeting minutes from the academic affairs committee meeting on September 22, 2016. The motion was approved unanimously.

#### Revised Honorary Degree Policy

Provost Darrell Kruger presented a revised university policy for the awarding of honorary degrees (Exhibit A-1). The Board of Governors has delegated to the Board of Trustees authority to confer honorary degrees and this policy revision more clearly establishes eligibility requirements and the process for review and approval of nominations. The proposed revisions are consistent with all Board of Trustees bylaws. There was sentiment expressed that, as an institution, we want to support the granting of honorary degrees whenever appropriate and ensure that our policies and procedures do not in any way deter this practice. After brief discussion a motion was made by John Blackburn and seconded by Carole Wilson that the Committee accept the policy revision as presented, and to recommend to the full board for approval. The motion was approved unanimously.

#### Update on Position Searches

Provost Kruger provided an update on current position searches within the Division of Academic Affairs. National searches are well underway for the positions of Dean of the College of Arts and Sciences, Dean of the Honors College and Dean of Libraries. Search committees have just been formed and charged by Provost Kruger for the positions of Dean of the Hayes School of Music, Dean of the Beaver College of Health Sciences, Associate Vice Chancellor for Enrollment Management, and Associate Vice Chancellor for Equity, Diversity and Compliance.

#### Open Session Adjourned

A motion was made by John Blackburn and seconded by Jalyn Howard that the Committee adjourn the open session and convene in closed session to prevent the disclosure of privileged information [N.C.G.S § 143-318.11(a)(1)] and to prevent the premature disclosure of an honorary award or scholarship. [N.C.G.S § 143-318.11(a)(2)]. The motion was approved unanimously.

#### Open Session Reconvened

The committee reconvened in open session.

There being no further business, a motion was made by Jalyn Howard and seconded by John Blackburn to adjourn at 1:55 p.m. The motion was approved unanimously.

Respectfully submitted,

David H. Cook  
Recording Secretary

**Minutes  
Business Affairs Committee  
Board of Trustees  
Appalachian State University  
December 8, 2016**

**CALL TO ORDER:** The Business Affairs Committee of the Board of Trustees of Appalachian State University met at the call of the Chairperson and the Chancellor on December 8, 2016 at 3:00 p.m. in the Beacon Heights Room of the Plemmons Student Union on the campus of Appalachian State University, Boone, North Carolina. Mr. George M. Baldwin presided.

**MEMBERS PRESENT:**

Mr. George M. Baldwin  
Mr. James M. Barnes  
Mr. John Blackburn  
Dr. Sheri N. Everts  
Mr. Robert C. Hatley  
Mr. Charles V. Murray  
Ms. Alice G. Roess  
Mr. D. Kenan Smith

**CONSTITUENCY REPRESENTATIVES**

Mr. Jalyn Howard, President  
Student Government Association

**OTHERS PRESENT:**

Mr. Mark Bachmeier  
Dr. Tim Burwell  
Mr. Dayton Cole  
Mrs. Deb Covington  
Mr. Matthew Dockham  
Mr. Matt Dull  
Dr. Randal Edwards  
Mr. Paul Forte  
Mrs. Denise Foutz  
Mr. David Jamison  
Dr. Darrell Kruger  
Mrs. Heather Langdon  
Dr. Heather Norris  
Ms. Anna Oakes  
Mr. Mike O'Connor  
Dr. Susan Roggenkamp  
Ms. Diane Pitts  
Mr. Ken Smith  
Dr. Alan Utter  
Dr. Sandra Vannoy  
Dr. Fred Whitt  
Mr. Leroy Wright

**CONFLICT OF INTEREST NOTICE:**

George M. Baldwin, Chair, read the following: "Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." [None reported]

**APPROVAL OF MINUTES:**

The minutes of the September 22, 2016 meeting have been reviewed by the Business Affairs Committee and upon motion made and seconded were approved as submitted.

**PROPOSED 2017-2018 STUDENT TUITION AND FEE INCREASES**

Paul Forte presented the following information to the Business Affairs Committee concerning the proposed student tuition and fee increases for the 2017-2018 academic year. He stated that the overall tuition increase was limited to 2% for all students except for continuing undergraduate residents for which the increase would be zero. A specialized tuition rate for two new programs was approved, those being for the College of Business, Master of Science in Applied Data Analytics at a cost of \$3,600; and the College of Health Sciences, Master in Health Administration at a cost of \$3,600. The Fee Committee proposed only a 2.64% increase; however, the Business Affairs Committee of the Board of Trustees proposed to increase fees to the full 3.0% allowed by state law. Following discussion, a motion was made and seconded that the Business Affairs Committee approved the proposed tuition and fee increases presented. The motion carried. Jalyln Howard voted against the increases for tuition and fees.

	FY17 Rate	FY18 Rate	Increase	
			\$	%
<b>Campus Initiated Tuition Rates</b>				
Undergraduate: Resident, Continuing	\$ 4,159	\$ 4,159	\$ -	0.0%
Undergraduate: Resident, Freshmen & Transfer	4,159	4,242	83	2.0%
Undergraduate: Non-Resident	18,675	19,049	374	2.0%
Graduate: Resident	4,744	4,839	95	2.0%
Graduate: Non-Resident	17,913	18,271	358	2.0%
<b>Specialize Tuition Rates (Differential Tuition Increment)</b>				
Master of Science in Applied Data Analytics	\$ -	\$ 3,600	\$ 3,600	100.0%
Master in Health Administration	-	3,000	3,000	100.0%
<b>General Fees (Mandatory Fees):</b>				
Athletics - General	\$ 733	\$ 755	\$ 22	3.0%
Cultural Affairs	41	42	1	2.4%
Educational and Technology	546	576	30	5.5%
Health Services	294	325	31	10.5%
<b>Other Fees:</b>				
Board / Meal plans	\$ 2,660	\$ 2,714	\$ 54	2.0%
Parking	204	240	36	17.6%
Textbook Rental	280	288	8	2.9%

**PROPERTY EASEMENTS RELATED TO THE COLLEGE OF HEALTH SCIENCES**

Paul Forte presented information regarding property easements for the College of Health Sciences. These easements include: water service from the Town of Boone, gas service from Frontier Gas, and electric service from Blue Ridge Electric Corporation. Following discussion, the motion was made and seconded to approve the request for these easements. The motion carried.

There being no further business, the meeting adjourned at 3:55 p.m.

Respectfully submitted,  
Diane Pitts

MINUTES  
MEETING OF THE STUDENT DEVELOPMENT COMMITTEE  
APPALACHIAN STATE UNIVERSITY  
BOARD OF TRUSTEES

Thursday, December 8, 2016  
Room 417 - Beacon Heights  
Plemmons Student Union

CALL TO ORDER: The Student Development Committee of the Board of Trustees of Appalachian State University met at the call of Mr. John Blackburn on Thursday, December 8, 2016 at 1:00 p.m. in the Beacon Heights room of Plemmons Student Union on the campus of Appalachian State University in Boone, North Carolina. Mr. Blackburn presided and called the meeting to order.

MEMBERS PRESENT:

John M. Blackburn  
Alice G. Roess  
Susan M. Branch  
Carolyn Clark  
Jalyn A. Howard  
Jason S. Marshburn  
D. Kenan Smith

CONSTITUENCY REPRESENTATIVES  
PRESENT:

Carolyn Clark

OTHERS PRESENT:

J. J. Brown  
Mark D. Bachmeier  
Maureen E. Cathey  
Marcia L. Clay  
Dayton T. Cole  
Debbie F. Covington  
Matt T. Dockham  
Matt C. Dull  
Taylor A. Eljaua  
Sheri N. Everts  
Paul D. Forte  
Stephanie S. Hickey  
Alex F. Howard  
David T. Jamison  
Sharon C. Jensen  
Molly A. Kadyk-Bruch  
Heather H. Langdon

Alan G. Lee  
Darrell P. Kruger  
Geraldyn B. Mitchell  
Susan D. McCracken  
Travis D. O'Shell  
Amy D. Page  
Alice G. Roess  
David Rogers  
Traci D. Royster  
Deanne R. Smith  
Leroy Wright

Leroy Wright, Interim Vice Chancellor for Student Development, introduced Mr. Blackburn as the newly appointed Student Development Committee Chair.

Mr. Blackburn read the following conflict of interest statement: "Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." There were none reported.

**Approval of Minutes:** Mr. Blackburn stated the September 22, 2016 Student Development Committee minutes were included in committee member notebooks. A motion was made, seconded and passed to approve the minutes as distributed.

**Career Development Center:** Mr. Blackburn called on Susan McCracken, Director of Career Development and Economic Engagement, as the presenter for the first agenda item. Dr. McCracken gave an overview of Career Development's mission. She reviewed current services provided by Career Development: 1) career exploration, 2) student employment and internships, 3) career counseling, and 4) employer relations. Dr. McCracken provided an update on the status of 2015 - 2016 goals, which included examples of enhancements to the department's communication plan and materials, realized outcomes from the "Optimizing Advising" Grant received from the UNC General Administration, and results of the first destination survey. She also announced the following goals for the 2016-17 academic year: 1) complete strategic planning for 2016 - 2021, 2) assess fall 2016 "College to Career" programming, 3) provide off-campus "Career Treks" in Spring 2017, 4) secure additional external funding and 5) collaborate with the Office of Financial Aid to develop a more robust and professional Federal Work Study Program.

**Student Government Association:** Mr. Blackburn introduced Jalyn Howard, Student Body President to update the committee on the status of his platform. He reported that Appalachian students have seven voting members on the Fee Committee and three on the Tuition Committee. He referenced the importance of tuition and fees mirroring the University's strategic plan and stressed the importance of affordability. Mr. Howard said he would discuss Student Government Association's (SGA) opinion on tuition and fees at the Business Affairs Committee meeting that afternoon. He highlighted: 1) the need to educate students on the feasibility of the University's



ability to provide a SANE nurse and the current resources that exist within Watauga County (five SANE nurses), 2) the accomplishment and continuation of an on-campus early voting site, 3) the updated and more user-friendly SGA website, 4) awards of SGA grants awarded that directly benefit the campus community, 5) modification to SGA's bylaws that decrease the number of senators, and 6) student sponsored events that have an impact. Mr. Howard thanked the committee for the opportunity to present. Further discussion was held regarding the current tailgating policy.

A motion was made, seconded and passed to adjourn the meeting at 2:00 p.m.

Respectfully submitted,

Molly Kadyk-Bruch  
Recording Secretary

MINUTES  
MEETING OF THE AUDIT COMMITTEE  
APPALACHIAN STATE UNIVERSITY  
BOARD OF TRUSTEES

Thursday, December 8, 2016  
5:00-6:00 p.m.  
Room 413 - Linn Cove Room  
Plemmons Student Union

CALL TO ORDER: The Audit Committee of the Board of Trustees of Appalachian State University met at the call of the Chair on Thursday, December 8, 2016, at 4:50 p.m. in the Linn Cove Room of the Plemmons Student Union on the campus of Appalachian State University in Boone, North Carolina. Mr. Charles V. Murray, Chair, presided and called the meeting to order.

MEMBERS PRESENT:

Charles V. Murray, Chair  
Robert C. Hatley  
Jason Marshburn

MEMBER ABSENT:

Brad Adcock

CONSTITUENCY REPRESENTATIVE PRESENT:

Carolyn Clark

OTHERS PRESENT:

Mark Bachmeier  
Debbie Covington  
Dayton T. Cole  
Eloise H. Covalt  
Randy K. Edwards  
Sheri N. Everts  
Denise N. Foutz  
David T. Jamison  
Paul Forte  
Alice G. Roess  
Dawn Antonucci  
Clayton Christian  
Jim Webb  
Beth Pouder  
David Ehricht, Office of the State Auditor  
David Rogers, *Blowing Rock News*

CONFLICT OF INTEREST NOTICE: Chair Murray read the following:

"Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." [None reported.]

APPROVAL OF MINUTES: The minutes of the September 22, 2016 Audit Committee were delivered to all members of the Audit Committee prior to the meeting. Chair Murray called for a motion to approve the minutes of the September 22, 2016 Audit Committee meeting. There being no corrections, upon motion duly made and seconded, the minutes of the meeting were approved as presented.

PRESENTATION BY NORTH CAROLINA OFFICE OF THE STATE AUDITOR:

Mr. David Ehricht discussed the recently completed 2016 ASU Financial Statement Audit Report. No findings were reported.

REVIEW OF THE 2016-2017 AUDIT PLAN: Ms. Eloise Covalt, Chief Audit Officer, updated the Committee on the status of the 2016-2017 Audit Plan to include completed-to-date and in-progress.

SUMMARY OF RECENT INTERNAL AUDITS: Ms. Eloise Covalt gave the summary of recent internal audits.

- Appalachian Real Estate Holdings (AREH) An annual financial internal audit. No findings reported.
- Follow-up reviews were completed to determine the status of implementing recommendations from three previous audits:
  - Ticketing Operations: Recommendations implemented. Resolved.
  - Concession Operations: Resolution in progress.
  - Receipt Book Review: Recommendations implemented. Resolved.
- Campus-wide reviews: Quarterly reviews were conducted in the areas of fund reconciliations, fixed assets, procurement card disbursements, travel disbursements and foundation expenditures. In addition, monthly reviews were conducted regarding the removal of network access for terminated employees. Internal controls over network access for transferred employees were reviewed and strengthening was recommended. Recommendations were also made regarding following ASU Policy concerning departmental bookkeeping, travel reimbursements, and fixed assets. No other significant findings to report.

EXTERNAL AUDITS UPDATE: Two Financial Audits: Appalachian Student Housing Corporation and Appalachian State University Foundation, Inc. were completed. Unqualified opinions were received on both. No findings to report. In addition, the Office of State Auditors (OSA) canceled the Information Systems Audit of System-Wide Information Technology Risk Assessments at UNC. OSA plans to conduct IT General Control audits at each of the 17 campuses

during the next three years. NCAA Agreed Upon Procedures: The 2016 Independent Accountants' Report on Applying Agreed Upon Procedures is in progress.

CLOSED SESSION: At 5:45 pm Chair Murray announced that he would entertain a motion that the voting members of the committee convene in closed session to:

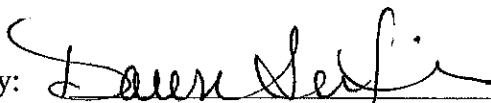
1. consult with our attorney to preserve the attorney-client privilege. .  
[N.C.G.S § 143-318.11(a)(3)]
2. consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee. [N.C.G.S § 143-318.11(a)(6)]
3. hear or investigate a complaint, charge, or grievance by or against an individual public officer or employee. [N.C.G.S § 143-318.11(a)(6)]

Upon motion duly made, seconded and approved, the committee convened in closed session.

OPEN SESSION RECONVENED: Upon motion duly made, seconded and approved, the committee reconvened in open session.

ADJOURNMENT: There being no further business, the meeting of the Audit Committee adjourned at 6:05 pm.

CHARLES V. MURRAY, Chair

By:   
Dawn Antonucci  
Recording Secretary

MINUTES  
MEETING OF THE ATHLETICS COMMITTEE  
APPALACHIAN STATE UNIVERSITY  
BOARD OF TRUSTEES

Thursday, December 8, 2016  
12:00-1:00 p.m.  
Room 415 - Rough Ridge  
Plemmons Student Union

CALL TO ORDER: The Athletics Committee of the Board of Trustees of Appalachian State University met at the call of the Chairperson and the Chancellor on Thursday, December 8, 2016, at 12:00 p.m. in the Rough Ridge Room of the Plemmons Student Union on the campus of Appalachian State University, Boone, North Carolina. Carole P. Wilson presided and called the meeting to order.

MEMBERS PRESENT:

George M. Baldwin  
Robert C. Hatley  
Jason Marshburn  
Charles V. Murray  
D. Kenan Smith  
Carole P. Wilson

OTHERS PRESENT:

James M. Barnes  
Dayton T. Cole  
Debbie F. Covington  
Randy K. Edwards  
Sheri N. Everts  
Willie C. Fleming  
Paul D. Forte  
Denise N. Foutz  
Paul H. Gates  
Douglas P. Gillin  
Alan J. Hauser  
David T. Jamison  
Alan G. Lee  
Torre Moser  
Darrell P. Kruger  
Jonathan B. Reeder  
David Rogers  
Alice G. Roess  
Neva J. Specht  
Leroy Wright

CONFLICT OF INTEREST NOTICE: Chair Wilson read the statement: “Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today’s meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now.” None reported.

COMMENTS FROM STUDENT-ATHLETE: Senior Women’s Track & Cross Country student-athlete, Ms. Torre Moser, gave the committee insight regarding her experience as a student-athlete at Appalachian State University. In addition to her experience, Torre discussed her plans beyond graduation at the conclusion of the spring 2017 semester. Torre was then subsequently excused from the meeting.

PRESENTATION BY DIRECTOR OF ATHLETICS: Mr. Doug Gillin, Director of Athletics, provided the committee with a presentation, beginning with a discussion on the recent Appalachian State University NCAA public infractions report, how the Department of Athletics addressed the issue, and has moved forward. Mr. Gillin also presented the Athletics Operations Plan, which defines 10 Operational Priorities, and sets clear operational goals through 2017. The Operational Priorities include:

- Alignment with University Leadership
- Departmental Master Planning
- Financial Planning & Management
- Culture of Compliance & Risk Management
- Academic Achievement
- Athletic Excellence
- Student-Athlete Life
- Enhanced External Relations
- Emergence of Athletics Development
- Facilities Master Planning

To conclude his presentation, Mr. Gillin gave the committee an overview of current Appalachian State athletics facilities, as well as current facilities projects at peer institutions.

OPEN SESSION ADJOURNED: A motion was made and seconded that the Committee convene in closed session to:

1. consult with its attorney to preserve the attorney-client privilege [N.C.G.S § 143-318.11(a)(3)];

The motion was approved unanimously. Board of Trustees members, Mr. Dayton T. Cole, General Counsel, Mr. Doug Gillin, Director of Athletics, and Mr. Jonathan Reeder, Recording Secretary, were asked to remain for closed session. All others were excused.

OPEN SESSION RECONVENED: Chair Carole P. Wilson reconvened the open session.

ADJOURNMENT: There being no further business, the meeting of the Athletics Committee adjourned at 1:25 p.m.

Respectfully submitted,

Jonathan B. Reeder  
Recording Secretary

**MINUTES**  
**MEETING OF THE BOARD OF TRUSTEES OF THE ENDOWMENT FUND**  
**BOARD OF TRUSTEES**  
**APPALACHIAN STATE UNIVERSITY**  
**December 8, 2016**

**CALL TO ORDER:** The Board of Trustees of the Endowment Fund met at the call of the Chair and the Chancellor on Thursday, December 8, at 4:00 p.m. in the Beacon Heights Room of the Plemmons Student Union on the campus of Appalachian State University, Boone, North Carolina. Mr. Donald C. Beaver, presided and called the meeting to order.

**MEMBERS PRESENT:**

Dr. Randal K. Edwards  
Mr. Paul Forte  
Dr. Sheri N. Everts  
Dr. Alice G. Roess  
Mr. D. Kenan Smith

**OTHERS PRESENT:**

Mr. Dayton Cole  
Mrs. Deb Covington  
Mrs. Denise Foutz  
Mr. David Jamison  
Mt. Mike O'Connor  
Ms. Diane Pitts  
Mrs. Amy Roberts  
Mr. Ken Smith

**CONFLICT OF INTEREST NOTICE:** Chair Bradley T. Adcock read the following:

"Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." [None reported]

**APPROVAL OF MINUTES:**

The minutes of the September 22, 2016 meeting have been reviewed by the Business Affairs Committee and upon motion made and seconded were approved as submitted.

**ENDOWMENT INVESTMENT REPORT**

Amy Roberts reported that as of September 30, 2016, 2016, the total investment with UNC Management Company, Inc. is \$27,959,314.77. The portfolio holdings are \$21,308,209.16 - Endowed Professorships, \$4,699,003.84 - New River Light and Power Company and \$1,952,101.77 - University Bookstore.

**ADJOURNMENT**

There being no further business, the meeting adjourned at 4:35 p.m.

Respectfully submitted,

Diane Pitts