

MINUTES
MEETING OF THE BOARD OF TRUSTEES
APPALACHIAN STATE UNIVERSITY

Parkway Ballroom – 4th Floor Plemmons Student Union
Friday, September 24, 2021
2:00 p.m.

CALL TO ORDER: The Board of Trustees of Appalachian State University met in the Parkway Ballroom in the Plemmons Student Union on the campus of Appalachian State University and via livestream at the call of the Chair and the Chancellor on Friday, September 24, 2021, at 2:00 p.m., Chair Scott K. Lampe presided and called the meeting to order.

MEMBERS PRESENT:

James M. Barnes
John M. Blackburn
J. Jeffries Chesson
Fairley Bell Cook
Bailey K. Gardin
James E. Harris
Scott K. Lampe
James K. Reaves
Mark E. Ricks
Kimberly M. Shepherd
R. Thomas Sofield
Steven D. Wyatt

MEMBER PRESENT VIA ZOOM:

E. Bonnie Schaefer

OTHERS PRESENT:

Dawn Antonucci
Jane Barghothi
JJ Brown
Sheri Everts, Chancellor
Hank Foreman
Megan Hayes
Heather Norris

MEDIA PRESENT:

Moss Brennan, *Watauga Democrat*

CONFLICT OF INTEREST NOTICE: Chair Lampe read the following statement:

"Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now."

ROLL CALL: Chair Lampe asked Dawn Antonucci to call roll to verify all participants joining the meeting.

ADMINISTRATION OF OATH OF OFFICE: Chair Lampe recognized the Honorable Rebecca Eggers-Gryder of Watauga County, to administer the oath of office to new Trustees: Jeff Chesson, Fairley Bell Cook, Bailey Gardin, James Harris, Scott Lampe, Bonnie Schaefer and Steve Wyatt.

APPROVAL OF ABSENCES: None to report

APPROVAL OF MINUTES: The minutes of the June 18, 2021 meeting had been delivered to all members via BoardEffect. Upon motion duly made and seconded the minutes were approved as presented.

REMARKS FROM CHANCELLOR EVERTS: (see Attachment 1).

REPORT FROM ACADEMIC AFFAIRS COMMITTEE: Chair Lampe recognized Trustee John Blackburn, Chair of the Academic Affairs Committee, for this report.

Trustee Blackburn stated that the Academic Affairs Committee met on Friday morning and considered two action items in open session. Provost Heather Norris introduced the two new Deans to the Committee; Dr. Shannon Campbell, Dean of the College of Fine and Applied Arts, and Dr. Sue Polanka, Dean of the University Libraries.

The meeting minutes from the June 18, 2021 Academic Affairs Committee meeting were unanimously approved.

Wayne Sumner Distinguished Professorship Plan

Dr. Sandy Vannoy, Interim Dean of the Walker College of Business, presented the proposed plan to establish the Wayne Sumner Distinguished Professorship. The plan was drafted consistent with the requirements of the University and Board of Governors policy, and the associated endowment fund has been established pursuant to all applicable rules and regulations set forth by the Board of Governors.

The Academic Affairs Committee requested a motion to approve the proposed plan to establish the Wayne Sumner Distinguished Professorship as presented and to recommend it to the full Board for approval.

The Academic Affairs Committee approved the motion as presented and requests that the Board approve the proposed plan to establish the Wayne Sumner Distinguished Professorship as presented in Exhibit A-1.

Upon motion duly made and seconded, the Wayne Sumner Distinguished Professorship was approved as presented.

Trustee Blackburn stated this concluded his report from the Academic Affairs Committee (see Appendix A).

REPORT FROM ATHLETICS COMMITTEE: Chair Lampe recognized Trustee Thomas Sofield, Chair of the Athletics Committee, for this report.

Student-Athlete Presentation

Trustee Sofield stated the Athletics Committee met and began with a presentation given by Women's Basketball student-athlete, Janay Sanders, who gave the Committee insight into her transformational experience as a student-athlete at Appalachian. Janay is a Redshirt Junior, who is majoring in Computer Information Systems in the Walker College of Business. Janay is also serving this year as the Vice President of the Student-Athlete Advisory Committee.

Presentation from Director of Athletics

Trustee Sofield stated Director of Athletics Doug Gillin provided a presentation to the Committee beginning with a review of the Department of Athletics mission, and an update on App State Athletics' academic performance, where our student-athletes led all Sun Belt Conference institutions in Grade Point Average during the 2020-21 academic year.

Recent campus and community connections were then discussed, including the "Queen City Takeover" week held in Charlotte when App State football took on (and defeated) East Carolina University at Bank of America Stadium. Just two days following the game, Luke Combs held his first ever stadium show on App State's campus at Kidd Brewer Stadium. The events around the September 18th "Family Weekend" were reviewed, where just prior to the App State home football game against Elon, Hall of Fame Coach Jerry Moore's statue and plaza area were revealed and dedicated. Following the pregame events, the Kidd Brewer Stadium gates were opened and complimentary MARS ice cream was distributed to the first 10,000 fans in attendance.

Trustee Sofield reported from a fundraising perspective, over 250 App State supporters gathered in late June as Athletics held their annual Legends Event in the new North End Zone Grandview room, which was a tremendous success.

To conclude the meeting, Mr. Gillin then provided the Committee with an update on conference realignment and the changing landscape of college athletics.

Trustee Sofield stated that this concluded his report from the Athletics Committee (see Appendix B).

REPORT FROM THE AUDIT COMMITTEE: Chair Lampe recognized Trustee James Barnes, Acting Chair of the Audit Committee, for this report.

Trustee Barnes stated the minutes from the prior Audit Committee meeting of June 18, 2021 were reviewed and approved.

Internal Audits

Trustee Barnes reported the internal audits reviewed and discussed for this quarter were:

- A Financial Audit of New River Light and Power was completed. No findings were reported.
- Annual reviews were conducted in the areas of inventory, receipt books, and cash counts. Recommendations were made to strengthen departmental receipting in compliance with University policies.
- Campus-Wide Reviews were conducted in the areas of educational and technology funds, fixed assets, travel expenditures, and p-card expenditures. In addition, a quarterly review was conducted regarding the removal of network access for terminated employees. Also, if necessary, follow-up procedures were performed to determine the resolution of previous findings concerning the campus-wide reviews. Departmental administrators were contacted concerning the results of these reviews and the University policies and procedures were reviewed with them. Recommendations were made regarding ensuring compliance with university policy. No other significant findings to report.

Board of Trustees Certification Letter

Trustee Barnes reported the 2021 Board of Trustees Audit Committee Certification Letter was reviewed and discussed. This certification regarding the Committee's duties and responsibilities is sent annually to the UNC System Office of Compliance and Audit Services.

Internal Audit Self-Assessment& Maturity Model

Trustee Barnes also shared the Office of Internal Audits is now utilizing the Internal Audit Self-Assessment and Maturity Model tool as provided by the North Carolina Council of Internal Auditing and OSBM. The assessment results were reviewed and discussed with the Committee. This is an important part of Internal Audit's monitoring for quality assurance and to ensure alignment with the IIA Standards.

An overview of the Self-Assessment of Internal Controls over Financial Reporting for the Fiscal Year 2021 was provided by University Controller David Jamison. No major deficiencies in internal controls related to financial reporting were identified. No known fraud that involves management or other employees who have a significant role in the institution's system of internal control was identified.

General Counsel Paul Meggett provided the Audit Committee with an update concerning University Enterprise Risk Management.

Lastly, Trustee Barnes stated in conjunction with the Audit Committee's oversight of the Information Security Program, Interim Associate Vice Chancellor and CIO, Tom Van Gilder, and Chief Information Security Officer and Deputy CIO, Mr. Jim Webb, presented an Annual IT Security Update to the Committee.

Trustee Barnes indicated that this concluded his report from the Audit Committee (see Appendix C).

REPORT FROM BUSINESS AFFAIRS: Chair Lampe recognized Trustee Kimberly M. Shepherd, Chair of the Business Affairs Committee, for this report.

Chair Shepherd stated there were five (5) action items to present to the Board for approval.

Chair Shepherd shared the first item is a request for approval of an Easement with the Town of Boone located on Howard Street. This is part of the Town revitalization of Howard Street and making the one-way vehicular street with a pedestrian walkway. The Town of Boone is requesting permanent drainage and pedestrian easement as well as temporary construction easement. Easement agreement and map are in EXHIBIT D-1 in the BoardEffect materials.

Upon motion duly made and seconded, the Easement was approved as presented.

Chair Shepherd shared the second item is a request for approval of Integrated Priority List, which is EXHIBIT D-2 in the BoardEffect materials. In July, our Flex Carry forward of \$3,031,676 was approved by the State to be utilized for Repair and Renovation funding. Projects to be funded include repairs to Holmes Center and Ann Belk building. The proposed State Budget lists Repair and Renovation projects which are listed on Integrated Priority List.

Upon motion duly made and seconded, the Integrated Priority List was approved as presented.

Trustee Shepherd shared the third item is a request for approval of the disposal of real property by demolition of Bowie and Eggers Residence Halls. The entire P3 housing project (which noted the need to demolish Bowie and Eggers Residence Halls as part of the phased development) has previously been approved by all applicable State authorities. Phases 1 and 2 of the projects are complete and Phase 3 is currently underway. Demolition of Bowie and Eggers Halls are being requested as part of Phase 3 of the project in order to provide surface parking on land currently occupied by these halls. Resolutions and State PO-2 forms are marked as EXHIBIT D-3 in the BoardEffect materials.

Upon motion duly made and seconded, the Demolition of Bowie & Eggers Residence Halls were approved as presented.

Trustee Shepherd shared the fourth action item is the request for approval for five capital projects. The first three projects will be funded with our Flex Carry forward funds and are included in the Integrated Priority list. The details of the capital projects are labeled as EXHIBIT D-4 in the BoardEffect materials.

- a. Holmes Center Fire Alarm, Roof Repairs and Mechanical
- b. Holmes Center Stairs
- c. Anne Belk Envelope
- d. Cone Hall Roof Phase II
- e. Belk Library Maker Space & Idea Factory Renovation

Upon motion duly made and seconded, the five capital projects were approved as presented.

Chair Shepherd shared the final item is the request for approval of two cellphone leases on millennium campus. Verizon has a lease to build a cell tower on campus, AT&T and Carolina West will be renting additional space on the cellphone tower. Rental proceeds will be deposited into the millennium fund. The summary of the leases is labeled as EXHIBIT D-5 in the BoardEffect materials.

[Chair Shepherd recused herself from all discussion and voting in relation to this item.]

Upon motion duly made and seconded, the Leases were approved as presented.

Lastly, Chair Shepherd stated that Nick Katers, Associate Vice Chancellor, presented the capital projects update report as an informational item.

Chair Shepherd stated this concluded her report for the Business Affairs Committee (see Appendix D).

REPORT FROM STUDENT AFFAIRS: Chair Lampe recognized Trustee James Reaves, Chair of the Student Affairs Committee, for this report.

Trustee Reaves stated the Student Affairs Committee met earlier today and the agenda consisted of three informational presentations.

Student Government Association

Trustee Reaves shared that Mr. Bailey Gardin, current Student Government Association President, shared goals they have for the year. They specifically discussed their four pillars which are Engagement, Wellness, Accountability, and Equity.

Capital Projects Update

Trustee Reaves shared the second informational item consisted of a presentation by Mr. Matt Dull, Associate Vice Chancellor for Student Affairs, who provided an update on several capital projects within Student Affairs. The Committee heard an update on the Housing project, including the recent opening and dedication of Laurel Creek Hall. He also shared an update on the final phase of the housing project, New River Hall, which is currently being constructed at the former location of Justice Hall. Mr. Dull also shared the progress on the Child Development Center expansion which continues to progress to meet the long-standing goal of increasing child care capacity for students, faculty, and staff. Lastly, we were able to see some images of the completed relocation of the Career Development Center located here in this building on the 2nd floor.

Vice Chancellor Report

Lastly, Trustee Reaves stated the Committee heard from the Vice Chancellor J.J. Brown. Mr. Brown shared some images and stories from the beginning of the semester and stated that students are glad to be back in-person and engaged in campus life.

Trustee Reaves shared that he appreciated hearing these updates and all that Student Affairs is doing for students and this concludes his report (see Appendix E).

REPORT FROM GOVERNANCE COMMITTEE: Trustee Scott Lampe, Chair of the Governance Committee, gave this report.

Chair Lampe shared that the Governance Committee met earlier today and had a full agenda. The first action was to approve appointments to university-affiliated boards. Prior to this meeting, the full slate of appointees was made available for review by the full Board. Approval of these appointments comes forward as a recommendation from the Committee and doesn't require a second.

Upon motion duly made, the Appointments to Affiliated Boards were approved as presented.

Chair Lampe stated that in other business, the Governance Committee approved a Resolution to Amend and Restate Delegated Authority from the Board of Trustees of Appalachian State University and a Delegated Authority Table. This resolution and table were researched and created in response to a request from the UNC Board of Governors to ensure each campus had a single and updated source for delegated authority. The documents provided to the full Board in the meeting materials in BoardEffect meets the request from the Board of Governors.

Upon motion duly made and seconded, the Resolution was approved as presented.

Lastly, Chair Lampe shared that the Governance Committee reviewed and approved amendments to *Bylaws* for the Appalachian State University Board of Trustees and the Appalachian State University Foundation Board. Prior to this meeting, both amended *Bylaws* were made available for review by the full Board in BoardEffect. Both amendments provided updates and additional clarity. It is important to note, that in response to the current global pandemic, an "Emergency" section was added.

Upon motion duly made and seconded, the Board of Trustees *Bylaws* were approved as presented.

Upon motion duly made and seconded, the University Foundation Board *Bylaws* were approved as presented.

REPORT FROM THE NOMINATING COMMITTEE: Chair Lampe recognized Trustee John Blackburn, Chair of the Nominating Committee, for this report.

Trustee Blackburn stated the Nominating Committee was presenting the following slate of officers for approval:

Trustee Kimberly Shepherd, Chair
Trustee Mark Ricks, Vice Chair
Trustee Thomas Sofield, Secretary
Dawn Antonucci, Assistant Secretary

Upon motion duly made and seconded, the slate of officers was approved as presented and members will assume office at the conclusion of this meeting.

SPECIAL PRESENTATION: Chancellor Sheri Everts presented a special award, The Golden Gavel, to Trustee Scott Lampe for extraordinary service as Chair.

REMARKS FROM CHAIR LAMPE:

Chair Lampe thanked the Committees and Chairs for their reports today.

Chair Lampe thanked the administrators and staff for facilitating the meeting and shared that the next scheduled Board of Trustees Meeting for Appalachian State University will be held on Friday, December 3, 2021.

CLOSED SESSION: Chair Lampe announced that he would entertain a motion for the Board to convene in closed session. Chair Lampe asked that the voting Trustees, Chancellor Everts, Governor Byers, the Provost and Vice Chancellors, General Counsel, Chief Communications Officer, Director of Emergency Management, Athletic Director and the Assistant Secretary join the voting members in closed session.

Chair Lampe stated the livestream will be closed as we enter into closed session. Once we return to open session, the live stream will resume.

Upon motion duly made and seconded, the Board convened in closed session to:

prevent the disclosure of privileged or confidential information pursuant to North Carolina General Statute, Section 126-22 and 132-1.2(1) in accordance with [N.C.G.S. §143-318.11(a) (1)];

Prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award in accordance with [N.C.G.S. §143-318.11(a) (2)];

consult with the University's attorney in order to preserve the attorney-client privilege pursuant to [N.C.G.S. §143-318.11(a) (3)]; and

consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee in accordance with [N.C.G.S. § 143-318.11(a)(6)].

PUBLIC SESSION RECONVENED: Upon motion duly made and seconded, the Board reconvened in open session at 3:55 p.m.

Women's Basketball Head Coach Amendment

Chair Lampe recognized Trustee Sofield, Chair of the Athletics Committee, for this item. Trustee Sofield stated the Athletics Committee presents the amendment to the employment contract for Angel Elderkin for approval. The coach's materials were included in the Closed Session materials for the Board's review.

Upon motion duly made and seconded, the amendment to the employment contract was approved as presented.

Director of Track & Field Employment Agreement

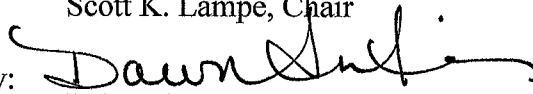
Trustee Sofield, Chair of the Athletics Committee, stated the Athletics Committee presents the employment contract for Damion McLean for approval. The coach's materials were included in the Closed Session materials for the Board's review.

Upon motion duly made and seconded, the employment contract was approved as presented.

ADJOURNMENT: There being no further business, the meeting was adjourned at 3:57 p.m.

Scott K. Lampe, Chair

By:



Dawn Antonucci
Assistant Secretary

Board of Trustees
Friday, September 24, 2021

Remarks by Sheri Everts, Chancellor

Good afternoon, and thank you, Chair Lampe, for your leadership of this Board.

I'd like to begin my remarks today by acknowledging the contributions of former Chancellor John Thomas, who passed away at the beginning of the month. Chancellor Thomas was a beloved member of our campus community who served as chancellor of App State from 1979–1993. He championed the importance of teaching and research going hand-in-hand, and his legacy of innovation and service will remain a central aspect of our campus culture for generations to come. Those who were fortunate to know him remember him as kind, thoughtful and community-focused. He was my trusted mentor and dear friend, and he will forever occupy a special place in my heart and in the heart of our campus community.

As you entered the Student Union today, you were able to experience something we have dearly missed on our campus until recently: the buzz of activities related to a fully in-person semester. In addition to classes, homework, group projects, club meetings, and socializing on the mall or in other favorite spots on or off-campus, we are back to holding events! Safety week, Constitution Day, Founders Day, an amazing outdoor concert with Luke Combs and home football games are helping us find some semblance of normalcy in our lives again.

I am pleased that many of you were able to join us for so many recent events and celebrations, including our dedication of the Jerry Moore Plaza, our “Queen City Takeover” expertly hosted by Alumni Affairs, Family Weekend, and, of course, Founders Day, which offered an opportunity to understand, embrace and more fully celebrate our rich and diverse university history.

Earlier this month, our university Police Department recognized its fourth class of graduates from the program’s summer Appalachian Police Academy. Fourteen new recruits are now on their way to entering the criminal justice job market with college degrees, North Carolina law enforcement certifications, AND up to two years of policing experience in a program that values and prioritizes diversity and inclusion.

Tomorrow, we will hold a long-awaited celebration to commemorate the graduates of the Class of 2020, in person. This group of graduates earned one of the most significant achievements of their lifetimes amid the challenges of a global pandemic. While we held a virtual ceremony for them in 2020, we continue to applaud their grace and unwavering resilience and we look forward to celebrating with them — in person! In fact, you may be able to hear them ringing the Founders Bell as we speak — this is a new tradition the Class of 2020 is beginning for all graduates moving forward.

Last night’s victory over Marshall was further evidence of our position as a leader in the Sun Belt Conference. As Vice President of the Sun Belt CEOs, I am excited about the future of the Sun Belt, and App State’s standing in the conference.

It was not so long ago that the COVID vaccine was simply a hope, our best tools for fighting the pandemic were distance and face coverings, and nearly half of our classes and almost all of our meetings and events were virtual.

Certainly, we are feeling much more optimistic, but we are still actively managing our COVID recovery and response efforts.

It's been 617 days since we first began monitoring COVID-19 and 497 days since we first activated the Emergency Operations Center and began our response efforts, which have evolved into response and recovery efforts. I want to spend just a moment reflecting on these numbers. My leadership team and I have been actively engaged in ensuring the university's preparation, response and recovery efforts for 617 continuous days. Since January 16, 2020, most of my team have not had a single day in which they were unplugged and disconnected from the 24/7/365 crisis that is COVID-19. I want to thank them in this setting for this work. It is never-ending. Each day brings new information which requires adjusting, changing, and continuing to respond to the effects of a global pandemic. COVID respects no weekends, no holidays, no birthdays, no family emergencies. It is relentless. My leadership team has risen to each and every challenge — from the smallest details to the greatest tragedies — and I applaud their continued professionalism, grace under pressure and stellar work, which is often a model for their colleagues across the UNC System and beyond.

With a vaccine that reduces the severity of the illness and lowers the stress on our medical system, we have the ability to keep the university, our community and our state open and fully functional. As you know, I cannot mandate the vaccine, but we are working to develop a number of ways to incentivize our university community to get vaccinated and report their vaccination status to the university. We are requiring weekly COVID testing of all unvaccinated students and employees, and FEMA was on campus this week offering free vaccines on Sanford Mall.

We have also awarded \$100,000 in scholarships to vaccinated students — and numerous other prizes to students, faculty and staff — as an expression of our appreciation for prioritizing the health and well-being of themselves and the entire campus community.

Our students are sharing their stories and their reasons for getting vaccinated, and we know that peer-to-peer messages make a difference. I know we all appreciate these students helping us with our vaccine efforts. Here's a [preview of some of the recent short messages](#) we are sharing on social media and in other settings.

I'd like to thank Bailey and the Student Government Association leadership for working closely with our communications team to help share these and other important messages.

The rates of students and employees who are getting vaccinated and reporting their vaccine status are steadily increasing. Requiring weekly testing is continuing to push the numbers in the right direction. We report campus testing and vaccine data — as well as active case counts — publicly on our COVID data dashboard each Monday. Each Friday, I provide interim updates, so our campus community has the latest information at the beginning and end of each work week. This morning, I reported an increase in our student vaccination rate to 58%, and our employee vaccination rate remains steady at 94%. Our on-campus testing numbers continue to increase: so far this week, we have tested 1,496 members of our campus community with a positivity rate of 1.3%.

These are important favorable trends during a time in which we are working to balance a return to pre-pandemic activity with the reality that the pandemic is still very much a part of our lives. We will continue making data-driven decisions, informed by public health guidance.

Regular communications remain a key priority during this time of continual change. Over the summer, Provost Norris and I hosted a three-week, comprehensive leadership workshop for chairs of academic departments. We discussed topics that ranged from diversity and inclusion, to sustainability and climate resilience, to campus safety, internal and external communications, the legislative budget process, COVID safety and much more. The Provost and I have, at the invitation of department chairs, continued our practice of attending faculty meetings to learn more from the academic departments about their priorities, successes and vision for the future. Additionally, my leadership team and I are holding regular meetings with Faculty Senate, Department Chairs, Staff Senate, the Student Government Association, Graduate Student Senate, Deans and others as needed,

for small group discussions. These are important opportunities for open dialogue, and while much of the current conversations have been pandemic focused, we have also engaged in discussions about research priorities and resource allocation.

As we manage the effects of the pandemic, students continue to place trust in App State. While many colleges and universities are seeing dramatic declines in enrollment, and are making incredibly difficult financial decisions as a result, App State is operating from a position of strength, and has continued to thrive, even while managing the incredible stresses and challenges of the global pandemic.

While the academic profile of our students remains constant, our total enrollment of 20,641 and our steady increases in the enrollment of underrepresented, transfer and App State Online students also reflect our university's commitment to making higher education accessible for all students.

- First-year enrollment growth has broken a new record at more than 4,000 students, undergraduate enrollment is up nearly 3%, and graduate student and App State Online enrollment are each up more than 6% from 2020. As I have mentioned before, this growth in App State Online students is where we expect to see more future growth, as the capacity to grow in Boone, even at a slow pace, becomes more limited.
- Underrepresented student enrollment has reached an historic high. Just over 19% of the total first-year population identifies as underrepresented, an increase of 5.7% since last year. We have more than doubled our first-year underrepresented enrollment since 2014, when I came to App State, and, in the same time period, we have increased our total underrepresented student population by 66%.
- Enrollment from rural populations has surpassed our goals set with the UNC System three years early, with 34.3% of in-state, degree-seeking undergraduate students from rural populations. First-generation undergraduate students compose 32% of the undergraduate student body, AND
- The transfer student population has grown by nearly 5% since last year.

The growth we have seen in all of these areas is critically important to the future of App State. It directly impacts our financial stability and our ability to attract and retain high-caliber students who will go on to live, work and make positive contributions to their communities — in North Carolina and far beyond.

National rankings also make the case for our caliber and distinction as the premier public undergraduate institution in the Southeast. App State continues to rank among the nation's "Best" and "Top" colleges, according to U.S. News & World Report, The Princeton Review and Forbes magazine. These national publications recently recognized App State for its academics, innovation, benefits for student veterans, and programs to enhance the first-year experience.

For the second year in a row, App State tops U.S. News & World Report's list for Most Innovative Schools. We earned number-two slots in undergraduate teaching and best colleges for veterans, and placed third among top public schools in the South. For five consecutive years, our university has led the nation in National Board-Certified Teachers. Our student-athletes have posted 18 consecutive semesters with a collective GPA of 3.0 or above.

These successes are the shared accomplishments of our faculty, staff and students, and I'd like to take a moment to applaud their significant successes, especially under the extraordinarily challenging circumstances of the last 18 months.

The entire App State community has been celebrating the latest victory of our solar vehicle team, Team Sunergy, as they competed in the 2021 American Solar Challenge. This rigorous competition tests the limits of groundbreaking sustainable technology, problem-solving skills and teamwork.

Immediately after their second-place win at the international 2021 Formula Sun Grand Prix, Team Sunergy finished in first place for multi-occupant vehicles — winning all three stages from Missouri to New Mexico, clocking 965 miles and also earning awards for teamwork and electrical design. Team Sunergy competed with grace and finesse against elite universities — including MIT, University of California, Berkeley and Georgia Tech — in tests of skill, strategy, endurance and engineering expertise. Our team excelled among a roster of highly elite institutions with celebrated engineering programs. I am extraordinarily proud of their ingenuity, perseverance and teamwork. What began in 2013 as a class project to build a solar-powered golf cart blossomed into an interdisciplinary team that has earned the respect and admiration of the entire international solar racing community. Since App State began competing in solar vehicle competitions in 2016, we have earned top awards in each and every race in which we have competed. This year, we are designing championship rings for the team, which we will present to them very soon.

At the end of last month, we welcomed UNC System President Peter Hans to campus. During his visit, President Hans met with the members of our first-place champion Solar Vehicle Team, learned from Professor Baker Perry about his latest climate research and met with several of the academic Deans to hear about key academic priorities and projects.

He also toured Sanford Hall and Levine Hall, where he saw evidence of App State's smart and capable stewardship of state funding in building and enhancing academic buildings. We also showed him I.G. Greer, so he could see a bit of what still needs to be done. We took him to the site of the future Innovation District, so he could envision the potential of the site for expanding and enhancing App State's curriculum and embracing multi-disciplinary collaborations on campus and with the community. We shared [App State's vision for serving the region through the Innovation District](#) with increased student engagement in research, creativity, innovation, design thinking and entrepreneurship.

As we laid out our vision for the future, we also shared the cost-of-living challenges of Boone and the surrounding area, and demonstrated our position in the UNC System funding model. (I'll remind you, we're at the bottom of the funding model.) Faculty and staff salary increases are key to our ability to recruit and retain talented academic professionals to App State, and I regularly talk with our legislators and members of the Board of Governors about this.

As the legislative budget process progresses, we are optimistic about the outcome for App State.

Making the case for our deserving faculty and staff is NOT a difficult task, as they continue to set standards for excellence. Our staff are improving the campus experience every day:

- Reviewing and improving our IT infrastructure;
 - Implementing an innovative new electronic textbook rental program;
 - Relocating our Career Development Center to a new, beautifully appointed location in the heart of campus and expanding its hours and services and including more diversity-focused career initiatives; AND
 - Fostering a safe and welcoming environment for our students to thrive.
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- Our Climate Action Plan, developed by 13 different groups comprised of students, faculty, staff and administrators, is serving as a roadmap to guide App State towards climate neutrality.
 - We are also making headway in the areas of transportation, purchasing, waste management and land use planning. The Office of Sustainability has a wealth of information on its website regarding these initiatives, and regularly adds new information about these and other important initiatives.

- In January, App State and App's electric utility, New River Light & Power, which serves nearly 9,000 residential and commercial customers in and around the Town of Boone, will begin purchasing its electricity from Carolina Power Partners. This new partnership opens greater renewable energy opportunities, and we will immediately increase our renewable energy purchase portfolio from just under 2% to 15%. With this conversion, we also anticipate a cost savings of about half a million dollars.

Our newest residence hall, Laurel Creek Hall, opened this summer, and is the third of four new residence halls to be constructed as part of a major three-phase housing project near Kidd Brewer Stadium. The 152,000 square foot, 640-bed residence hall was completed on time and under budget, and was built according to Green Built Alliance standards, which are even more stringent than LEED certification standards.

This year we also dedicated our university's first residence hall for transfer students, Mountain Laurel Hall, which also houses offices and staffing to provide greater support to transfer students. As we actively work to streamline and expand transfer-specific services, including housing, these efforts support our strategic enrollment and retention initiatives identified as part of the UNC System's strategic plan. Importantly, for some of our transfer students, a strong residential component is central to their academic experience. We have made real and powerful differences for our transfer students, and the numbers bear this out. We are proud that one in four Mountaineers begin as a transfer student and our retention rate for transfer students remains close to 80% — which is well above the national average.

Our faculty are engaging our students in important research.

- Dr. Baset Hamza, assistant professor in the Department of Computer Science, received a National Science Foundation Grant to fund his work in making data centers more efficient. His goal is to improve and speed up performance, as well as reduce the carbon footprint and impact of data centers on the earth's resources. His grant-funded work began in February 2020 and will continue through early 2022.
- Dr. Lakshmi Iyer, professor in the Department of Computer Information Systems and acting associate dean of graduate programs and research in the Walker College of Business, is performing research aimed at fostering gender equity in Information Technology. Through a three-year project funded through the National Science Foundation, Dr. Iyer, in collaboration with four other women IT faculty from universities across the country, will help identify and eliminate organizational barriers that prevent diverse, female IT faculty from fully participating in the field and advancing to the rank of full professor.
- Dr. Matt Estep, plant geneticist and associate professor in the Department of Biology, has begun a five-year study to examine the genetic diversity and sustainability of local endangered plant populations which are vulnerable to extinction due in part to climate change, land development and outdoor recreation. His project, funded by the U.S. Fish and Wildlife service, will help federal conservation efforts.

These are just some of the many remarkable stories we have to share about the work of App State faculty, and the work of our faculty continues to attract attention. We have seen a marked increase in earned media for the university, as well as in positive social media engagement, that is directly attributable to academic success stories. This exposure helps add to the trust families across our state — as well as legislators making funding decisions — place in our institution.

I have heard many people say the value of an App State education speaks for itself. I would add that the value of an App State education speaks TO the excellence of faculty and staff in teaching,

ATTACHMENT 1

research, creative endeavors and service. Each day, our faculty and staff find new and engaging ways to support and mentor our ambitious and supportive community of students.

In case you haven't seen it, I'd like to quickly [play an App State video](#), which helps share some of the excitement and pride we all feel about the university.

Thank you for your continued and robust support of the important work taking place on our campus.

Mr. Chair, this concludes my remarks

-- Event Team Meeting --

Agenda

12/8/21

1. Feedback from recent events (All)
2. Upcoming Football & Basketball Prep/Planning (Margaret)
3. Review Chancellor Event Tracker for next 60 days (David)
4. Other pending or outstanding requests not covered (All)

In-person Attendees:

Chancellor Sheri Everts

Hank Foreman

Megan Hayes

Dawn Antonucci

Margaret McCoy

Sarah Ames

David Cook

MINUTES
MEETING OF THE ACADEMIC AFFAIRS COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Friday, September 24, 2021
Rough Ridge
Plemmons Student Union

CALL TO ORDER: The Academic Affairs Committee of the Board of Trustees of Appalachian State University met at the call of the Chairperson, John Blackburn, on Friday, September 24, 2021, at 10:00 a.m. in Rough Ridge Room of the Plemmons Student Union on the campus of Appalachian State University in Boone, North Carolina. Chairperson John Blackburn presided and called the meeting to order.

MEMBERS PRESENT:

John Blackburn, Chairperson
Bailey Gardin
Bonnie Schaefer
James E. Harris

MEMBERS ABSENT:

Mark E. Ricks

CONSTITUENCY REPRESENTATIVES
PRESENT:

Louis Gallien, Chair, Faculty Senate

OTHERS PRESENT:

Cindy Barr
Kathy Brown
Shannon Campbell
Alvin Carlisle
Jennifer Chrisohon
James Douthit
Mark Ginn
Marie Hoepfl
Marie Huff
Ece Karatan
Heather Langdon
Alexis Loveland
Mike McKenzie
Evan Martino
Adryona Nelson
Heather Norris
Jamie Parson
Sue Polanka
Connor Raney

Toussaint Romain
Neva Specht
Melba Spooner
Sandra Vannoy
Tom Van Gilder
Megan Wills

CONFLICT OF INTEREST NOTICE: Chairperson Blackburn read the following statement:

“Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today’s meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now.” (None reported.)

EXPECTATION OF CONDUCT NOTICE: Chairperson Blackburn read the following statement: *As we begin the business portion of the meeting, I would like to remind everyone of the Subcommittee’s expectations for conduct at our meetings. We ask that those attending today remain respectful of fellow attendees and the Subcommittee. Those attending an official meeting may not engage in conduct that is inconsistent with our Bylaws or that interferes with the rights of others to observe and listen to the proceedings. Any individual who disrupts the meeting will be asked to leave and may be subject to arrest.*

INTRODUCTIONS

Dr. Heather Norris, Provost and Executive Vice Chancellor, introduced Dr. Shannon Campbell, Dean of the College of Fine and Applied Arts, and Dr. Sue Polanka, Dean of the University Libraries.

APPROVAL OF MINUTES

A motion was made by Trustee Bonnie Schaefer and seconded by Trustee Bailey Gardin to approve the meeting minutes from the Academic Affairs Committee meeting on June 18, 2021. The motion was approved unanimously.

APPROVAL OF PLAN TO ESTABLISH THE WAYNE SUMNER PROFESSORSHIP

Dr. Sandra Vannoy, Interim Dean of the Walker College of Business, presented the plan to establish the Wayne Sumner Professorship.

A motion was made by Trustee Jamie Harris and seconded by Trustee Bonnie Schaefer to approve the distinguished professorship plan as presented and to recommend it to the full Board for approval. The motion was approved unanimously.

PRESENTATION BY THE HAYES SCHOOL OF MUSIC

Dr. James Douthit, Dean of the Hayes School of Music, shared a presentation to the Committee on the School's successes, opportunities, and challenges. Alexis Loveland and Alvin Carlisle, majors within the Hayes School of Music, shared their experiences as students, their musical interests, and their plans beyond graduation.

ADJOURNMENT

There being no further business, Chair Blackburn requested a motion to adjourn. A motion to adjourn was made by Trustee Bailey Gardin and seconded by Trustee Jamie Harris. The motion was approved unanimously and the meeting adjourned.

Respectfully submitted,

Kathy Brown
Recording Secretary

MINUTES
MEETING OF THE BUSINESS AFFAIRS COMMITTEE
BOARD OF TRUSTEES
APPALACHIAN STATE UNIVERSITY

Friday, September 24, 2021
Beacon Heights Room
Plemmons Student Union

CALL TO ORDER: The Business Affairs Committee of the Board of Trustees of Appalachian State University met at the call of the Chairperson and the Chancellor on Friday, September 24, 2021, at 10:00 a.m. in the Beacon Heights Room in the Plemmons Student Union. Mrs. Kimberly Shepherd, Chairperson, presided.

MEMBERS PRESENT:

Fairley Bell Cook
Scott K. Lampe
James K. Reaves
Mark E. Ricks
Kimberly M. Shepherd
R. Thomas Sofield
Steven D. Wyatt

OTHERS PRESENT:

John Adams
George Baldwin
Jane Barghothi
Sharon Bell
J.J. Brown
Crawford Cleveland
Matthew Dockham
Chancellor Sheri Everts
Hank Foreman
Megan Hayes
David Jamison
Nick Katers
Paul Meggett
Anna Oakes
Jeff Pierce
Diane Pitts

CONFLICT OF INTEREST NOTICE: Mrs. Kimberly Shepherd, read the following: "Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." [None reported]

The following statement was also read, "As we begin the business portion of the meeting, I would like to remind everyone of the Committee's expectations for conduct at our meetings. We ask that those attending today remain respectful of fellow attendees and the Committee. Those attending an official meeting may not engage in conduct that is inconsistent with our Bylaws or that interferes with the rights of others to observe and listen to the proceedings. Any individual who disrupts the meeting will be asked to leave and may be subject to arrest."

APPROVAL OF MINUTES:

The minutes of the June 18, 2021, and closed session June 18, 2021 meetings of the Business Affairs Committee had been reviewed and upon motion duly made and seconded, were approved as submitted.

HOWARD STREET EASEMENT

Interim Chief Financial Officer/Associate Vice Chancellor for Finance and Administration, Sharon Bell, presented information concerning the Howard Street Easement. Mrs. Bell stated that the Town of Boone is requesting a permanent drainage and pedestrian easement, as well as several temporary construction easements as part of the Howard Street Revitalization Project. Howard Street will be converted to a one-way vehicular traffic and a pedestrian walkway.

Following discussion, the motion was made and seconded to approve this Howard Street Easement. The motion carried.

Mrs. Bell recognized Mr. Nick Katers, Associate Vice Chancellor for Facilities Management, for the following five items:

APPROVAL OF THE INTEGRATED PRIORITY LIST

Mr. Katers presented the following proposed integrated priority list of projects:

1. Walker Hall HVAC Repair and Upgrades	\$ 500,000
2. Walker Hall Envelope and Structural Repair	1,300,000
3. Campus Wide Electronic Door Access Installation	1,500,000
4. Chapel Wilson Gutter/Soffit/Roof Replacement	600,000
5. Smith Wright Hall Roof Repair and Replacement	1,000,000
6. Holmes Convocation Center Chiller	200,000
7. B.B. Dougherty Chiller Repair	100,000
8. Facilities Operations /Motor Pool Wall Repairs	300,000
9. John E. Thomas Chiller/Compressor Upgrades	250,000
10. Anne Belk Hall Hot Water Piping Replacement	500,000
11. Edwin Duncan Hall HVAC and Lighting Improvements	800,000
12. John E. Thomas Envelope	300,000
13. Howard Street Hall Road Opening	200,000
14. Holmes Convocation Center Variable Air Volume Replacement	150,000
15. Peacock Hall Elevator Upgrade	200,000
16. University Hall Sprinkler System	<u>250,000</u>

Total R&R amount in proposed budget allocation: \$8,150,000

Following discussion, the motion was made and seconded to approve the integrated priority list as presented. The motion carried.

DISPOSITION OF REAL PROPERTY - BOWIE AND EGGERS

Mr. Katers presented information on the disposition of Bowie and Eggers Residence Halls and also presented a resolution authorizing the demolition of Bowie and Eggers Residence Halls in conjunction with the development of Phase III of the P3 Student Housing Project. Both Bowie and Eggers are over 50-years-old and it was determined to be more cost effective to raze the buildings and replace with new construction as compared to renovating them and bringing them up to current building code and campus design standards.

Following discussion, the motion was made and seconded to approve the demolition of both Bowie and Eggers Residence Halls. The motion carried. (Trustee Mark Ricks opposed the vote.)

CAPITAL PROJECTS APPROVAL

Mr. Katers presented the following capital projects for approval. The first three projects are from the current integrated priority list and funded by carryforward funds. Cone Residence Hall Roof will be funded by housing receipts and the Belk Library Maker Space and Idea Factory will be funded by the education and technology student fee.

1. Holmes Convocation Center Fire Alarm Replacement and Roof Repairs	\$1,031,676
2. Holmes Convocation Center Stairs and Entrance Repairs	1,000,000
3. Anne Belk Hall Envelope Repairs	1,000,000
4. Cone Residence Hall Roof Phase 2	499,000
5. Belk Library Maker Space and Idea Factory	<u>400,000</u>
TOTAL	\$3,930,676

Following discussion, the motion was made and seconded to approve these capital projects as presented. The motion carried.

LEASE APPROVALS:

Mr. Katers presented the following information for lease approvals:

(1) Carolina West Wireless, Inc.

Address: 473 Bodenheimer Drive, Boone, NC

Purpose: Relocates Carolina West from now-demolished Gardner Hall rooftop site to the newly-constructed monopole on Bodenheimer Drive

Benefits: Improves cellular services for campus
Millennial status allows 100% of lease proceeds to be reinvested on campus

Term: Up to 15-years; 5-year initial term, with two additional renewals of 5-years
October 1, 2021 – September 30, 2026

Rental Amount: \$43,020 per year, yearly escalation of 4%

(2) New Cingular Wireless PCS, LLC; dba AT&T

Address: 473 Bodenheimer Drive, Boone, NC

Purpose: Relocates AT&T from its current location on Bowie Hall, which is scheduled to be demolished summer 2022, to the new monopole on Bodenheimer Drive

Benefits: As above

Term: Up to 30-years; 10-year initial term, with two renewals of 10-years each

Rental Amount: \$57,674 per year, yearly escalation of 4%

Following discussion, the motion was made and seconded to approve the leases as presented. The motion carried. (Trustee Kimberly Shepherd recused herself from discussion and voting on this action item.)

CAPITAL PROJECTS UPDATE:

Mr. Katers presented a capital projects update.

ADJOURNMENT:

There being no further business, the meeting adjourned at 10:30 a.m.

Respectfully submitted,

Diane Pitts
Recording Secretary

MINUTES
MEETING OF THE ATHLETICS COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Friday, September 24, 2021
Rough Ridge
Plemmons Student Union

CALL TO ORDER: The Athletics Committee of the Board of Trustees of Appalachian State University met at the call of the Chairperson and the Chancellor on Friday, September 24, 2021, at 1:00 p.m. in the Rough Ridge Room of the Plemmons Student Union on the campus of Appalachian State University, Boone, North Carolina. R. Thomas Sofield presided and called the meeting to order.

MEMBERS PRESENT:

James M. Barnes
J. Jeffries Chesson
James E. Harris
James K. Reaves
Mark E. Ricks
R. Thomas Sofield

OTHERS PRESENT:

George Baldwin
Jane Barghothi
Sharon Bell
John M. Blackburn
Moss P. Brennan
J.J. Brown
Jennifer Chrisohon
Crawford Cleveland
Fairley Bell Cook
Sheri Everts, Chancellor
Bailey K. Gardin
Douglas P. Gillin
Mark Ginn
Scott K. Lampe
Suzette Mauney
Paul A. Meggett
Heather Norris
Anna Oakes
Jonathan B. Reeder
Toussaint Romain
Rene Salinas
Janay Sanders
Kimberly M. Shepherd
Meghan Wills
Steven D. Wyatt

CONFLICT OF INTEREST NOTICE: Chair Sofield read the statement: "Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." [None reported]

OPENING STATEMENT ON EXPECTATIONS OF CONDUCT: Chair Sofield read the statement: “As we begin the business portion of the meeting, I would like to remind everyone of the Committee’s expectations for conduct at our meetings. We ask that those attending today remain respectful of fellow attendees and the Committee. Those attending an official meeting may not engage in conduct that is inconsistent with our Bylaws or that interferes with the rights of others to observe and listen to the proceedings. Any individual who disrupts the meeting will be asked to leave and may be subject to arrest.”

APPROVAL OF MINUTES: Chair Sofield stated the June 18, 2021 Athletics Committee meeting minutes were included in Committee members’ materials. A motion was made by Trustee Chesson, seconded by Trustee Barnes, and approved to accept the minutes as distributed.

COMMENTS FROM STUDENT-ATHLETES: Senior Women’s Basketball student-athlete, Janay Sanders, provided the Committee insight into her transformational experiences as a student-athlete at Appalachian State University.

PRESENTATION BY DIRECTOR OF ATHLETICS: Mr. Doug Gillin, Director of Athletics, presented several updates to the Committee:

- An update on App State Athletics’ academic performance, where our student-athletes led all Sun Belt Conference institutions in Grade Point Average during the 2020-21 academic year
- Recent campus and community connections were then discussed, including the “Queen City Takeover” week held in Charlotte when App State football took on (and defeated) East Carolina University at Bank of America Stadium. Just two days following the game, Luke Combs held his first ever stadium show on App State’s campus at Kidd Brewer Stadium
- Events around the September 18th “Family Weekend” were reviewed, where just prior to the App State home football game against Elon, Hall of Fame Coach Jerry Moore’s statue and plaza area were revealed and dedicated. Following the pregame events, the Kidd Brewer Stadium gates were opened and complimentary MARS ice cream was distributed to the first 10,000 fans in attendance
- From a fundraising perspective, over 250 App State supporters gathered in late June as Athletics held their annual Legends Event in the new North End Zone Grandview room, which was a tremendous success
- During App State’s first two home football games, nearly 10,000 students attended each game
- To conclude open session, Mr. Gillin then provided the Committee with an update on conference realignment and the changing landscape of college athletics

OPEN SESSION ADJOURNED: A motion was made and seconded that the Committee convene in closed session to:

1. prevent the disclosure of confidential or privileged information under Chapter 126-24 of North Carolina General Statutes or regulations [N.C.G.S § 143-318.11(a)(1)]; and
2. establish or instruct the staff or agent concerning the negotiations of the amount of compensation or other terms of an employment contract. [N.C.G.S § 143-318.11(a)(5)]

The motion was approved unanimously. Chancellor Sheri Everts, Board of Trustees members, General Counsel, Mr. Doug Gillin, Director of Athletics, and Mr. Jonathan Reeder, Executive Associate Athletics Director, were asked to remain for closed session. All others were excused.

OPEN SESSION RECONVENED: Chair R. Thomas Sofield reconvened the open session.

ADJOURNMENT: After the open session reconvened, the meeting of the Athletics Committee adjourned at 2:00 p.m.

Respectfully submitted,

Jonathan B. Reeder
Recording Secretary

MINUTES
MEETING OF THE AUDIT COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Friday, September 24, 2021
Beacon Heights
Plemmons Student Union

CALL TO ORDER: The Audit Committee of the Board of Trustees of Appalachian State University met at the call of the Chair on Friday, September 24, 2021, at 11:00 a.m. in room 417 Beacon Heights, Plemmons Student Union on the campus of Appalachian State University in Boone, North Carolina. Mr. James M. Barnes, presided and called the meeting to order.

MEMBERS PRESENT:

James M. Barnes, Chair
John M. Blackburn
Kimberly M. Shepherd
J. Jeffries Chesson

OTHERS PRESENT:

John Adams
Jane Barghothi
Sharon Bell
David Cook
Eloise Covalt
Jennifer Chrisohon
Matthew Dockham
David Earp
Chancellor Sheri Everts
Tom Van Gilder
David Jamison
Paul Meggett
Toussaint Romain
Boris Salvador
Jim Webb
David Willis

CONFLICT OF INTEREST NOTICE: Chair Barnes read the following: "Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." [None reported.]

EXPECTATION OF CONDUCT NOTICE: Chair Barnes also read the following: “As we begin the business portion of the meeting, I would like to remind everyone of the Committee’s expectations for conduct at our meetings. We ask that those attending today remain respectful of fellow attendees and the Committee. Those attending an official meeting may not engage in conduct that is inconsistent with our Bylaws or that interferes with the rights of others to observe and listen to the proceedings. Any individual who disrupts the meeting will be asked to leave and may be subject to arrest.”

APPROVAL OF MINUTES: The minutes of the June 18, 2021 Audit Committee meeting were delivered to all Audit Committee members prior to the meeting. There were no corrections. Chair Barnes called for a motion to approve the June 18, 2021 Audit Committee meeting minutes. Upon motion made by John Blackburn and seconded by Kimberly Shepherd, the minutes of the meeting were approved as presented.

VISITOR WELCOME:

Chair Barnes extended a welcome to Representative David Willis, NC House of Representatives 68th District Union County.

SUMMARY OF 2021-2022 AUDIT PLAN AND RECENT INTERNAL AUDIT ACTIVITY:

Ms. Eloise Covalt, Chief Audit Officer, provided the Committee with the 2021-2022 Audit Plan progress and summary to date regarding recent internal audit activity. Copies of all internal audit reports completed this quarter were provided to the Committee. Ms. Covalt provided a summary of the following internal audits:

- New River Light and Power - No written findings reported
- Annual Cash Counts FYE 2021 - No written findings reported
- Inventory Review FYE 2021- No written findings reported
- Receipt Book Review FYE 2021 – Strengthening of timeliness of deposits was recommended for certain departments. A follow-up to the prior year audit was found to be partially resolved. Additional departmental training and monitoring by the University Treasurer was recommended.
- P-Card (Quarterly Review AMJ 2021) - No written findings reported
- Fixed Assets (Quarterly Review AMJ 2021) - No written findings reported
- Travel Disbursements (Quarterly Review AMJ 2021) – No written findings reported
- Educational and Technology Funds (Quarterly Review AMJ 2021) and User Access Controls (Quarterly Review JFM 2021) - Certain items of non-compliance with policy was reported. Corrections or strengthening of internal controls was recommended and resolution is in progress.

2021 BOARD OF TRUSTEES AUDIT COMMITTEE CERTIFICATION LETTER:

Ms. Eloise Covalt, Chief Audit Officer, provided the certification letter to the Audit Committee. This certification attests to the Audit Committee's duties and responsibilities and certifies that these have been completed as prescribed. Former Committee Chair Murray had previously reviewed and signed the attestation and it was sent to Lynne Sanders, Vice President of Compliance and Audit Services, UNC System.

NC INTERNAL AUDIT SELF-ASSESSMENT AND MATURITY MODEL DASHBOARD:

Ms. Eloise Covalt, Chief Audit Officer, shared that beginning in 2021, the Office of Internal Audits is utilizing the NC Internal Audit Self-Assessment and Maturity Model (SAMM) tool as provided by NC Council of Internal Auditing/OSBM. This is an important part of internal monitoring for quality assurance/improvement and alignment with the IIA Standards. SAMM is organized in (6) themes based on IIA Standards and good practices. The tool must be completed annually and the outcome discussed with functional and administrative reports. Ms. Covalt provided a copy of the final dashboard to the Committee which summarized the results of the self-assessment. Chancellor Everts has reviewed the completed tool and discussed the results with Ms. Covalt. Additionally, the completed tool has been submitted to the NC Council of Internal Auditing.

SELF-ASSESSMENT OF INTERNAL CONTROLS OVER FINANCIAL REPORTING:

Mr. David Jamison, University Controller, summarized the recent completion of the Self-Assessment of Internal Controls over Financial Reporting for the Fiscal Year 2021, which requires reporting to the Board of Trustee Audit Committee. This annual certification regarding Internal Controls was sent to the Office of the State Controller prior to the due date of July 31, 2021 with no major deficiencies in internal controls related to financial reporting identified. Further, no known fraud that involves management or other employees who have a significant role in the institution's system of internal control was identified.

ENTERPRISE RISK MANAGEMENT: Mr. Paul Meggett, General Counsel, introduced Mr. Boris Salvador, the University's new Enterprise Risk Manager.

CLOSED SESSION: Chair Barnes called for a motion to enter into closed session at 11:15 a.m. A motion was made by Jeffries Chesson and seconded by John Blackburn that the Committee adjourn the open session and convene in closed session to prevent the disclosure of privileged or confidential information pursuant to North Carolina Statute section 132-6.1(c) and to prevent the disclosure of privileged or confidential information pursuant to North Carolina General Statutes sections 126-22 in accordance with N.C.G.S § 143-318.11(a)(1). The motion was unanimously approved. Chair Barnes asked that certain attendees join the voting members in closed session.

OPEN SESSION RECONVENED: Chair Barnes reconvened open session.

ADJOURNMENT: There being no further business, a motion was made by John Blackburn and seconded by Kimberly Shepherd to adjourn the meeting at 11:50 a.m.

JAMES M. BARNES, Chair

By: _____
David Cook
Recording Secretary

MINUTES
MEETING OF THE BUSINESS AFFAIRS COMMITTEE
BOARD OF TRUSTEES
APPALACHIAN STATE UNIVERSITY

Friday, September 24, 2021
Beacon Heights Room
Plemmons Student Union

CALL TO ORDER: The Business Affairs Committee of the Board of Trustees of Appalachian State University met at the call of the Chairperson and the Chancellor on Friday, September 24, 2021, at 10:00 a.m. in the Beacon Heights Room in the Plemmons Student Union. Mrs. Kimberly Shepherd, Chairperson, presided.

MEMBERS PRESENT:

Fairley Bell Cook
Scott K. Lampe
James K. Reaves
Mark E. Ricks
Kimberly M. Shepherd
R. Thomas Sofield
Steven D. Wyatt

OTHERS PRESENT:

John Adams
George Baldwin
Jane Barghothi
Sharon Bell
J.J. Brown
Crawford Cleveland
Matthew Dockham
Chancellor Sheri Everts
Hank Foreman
Megan Hayes
David Jamison
Nick Katers
Paul Meggett
Anna Oakes
Jeff Pierce
Diane Pitts

CONFLICT OF INTEREST NOTICE: Mrs. Kimberly Shepherd, read the following: "Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." [None reported]

The following statement was also read, "As we begin the business portion of the meeting, I would like to remind everyone of the Committee's expectations for conduct at our meetings. We ask that those attending today remain respectful of fellow attendees and the Committee. Those attending an official meeting may not engage in conduct that is inconsistent with our Bylaws or that interferes with the rights of others to observe and listen to the proceedings. Any individual who disrupts the meeting will be asked to leave and may be subject to arrest."

APPROVAL OF MINUTES:

The minutes of the June 18, 2021, and closed session June 18, 2021 meetings of the Business Affairs Committee had been reviewed and upon motion duly made and seconded, were approved as submitted.

HOWARD STREET EASEMENT

Interim Chief Financial Officer/Associate Vice Chancellor for Finance and Administration, Sharon Bell, presented information concerning the Howard Street Easement. Mrs. Bell stated that the Town of Boone is requesting a permanent drainage and pedestrian easement, as well as several temporary construction easements as part of the Howard Street Revitalization Project. Howard Street will be converted to a one-way vehicular traffic and a pedestrian walkway.

Following discussion, the motion was made and seconded to approve this Howard Street Easement. The motion carried.

Mrs. Bell recognized Mr. Nick Katers, Associate Vice Chancellor for Facilities Management, for the following five items:

APPROVAL OF THE INTEGRATED PRIORITY LIST

Mr. Katers presented the following proposed integrated priority list of projects:

1. Walker Hall HVAC Repair and Upgrades	\$ 500,000
2. Walker Hall Envelope and Structural Repair	1,300,000
3. Campus Wide Electronic Door Access Installation	1,500,000
4. Chapel Wilson Gutter/Soffit/Roof Replacement	600,000
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7. B.B. Dougherty Chiller Repair	100,000
8. Facilities Operations /Motor Pool Wall Repairs	300,000
9. John E. Thomas Chiller/Compressor Upgrades	250,000
10. Anne Belk Hall Hot Water Piping Replacement	500,000
11. Edwin Duncan Hall HVAC and Lighting Improvements	800,000
12. John E. Thomas Envelope	300,000
13. Howard Street Hall Road Opening	200,000
14. Holmes Convocation Center Variable Air Volume Replacement	150,000
15. Peacock Hall Elevator Upgrade	200,000
16. University Hall Sprinkler System	<u>250,000</u>

Total R&R amount in proposed budget allocation: \$8,150,000

Following discussion, the motion was made and seconded to approve the integrated priority list as presented. The motion carried.

DISPOSITION OF REAL PROPERTY - BOWIE AND EGGERS

Mr. Katers presented information on the disposition of Bowie and Eggers Residence Halls and also presented a resolution authorizing the demolition of Bowie and Eggers Residence Halls in conjunction with the development of Phase III of the P3 Student Housing Project. Both Bowie and Eggers are over 50-years-old and it was determined to be more cost effective to raze the buildings and replace with new construction as compared to renovating them and bringing them up to current building code and campus design standards.

Following discussion, the motion was made and seconded to approve the demolition of both Bowie and Eggers Residence Halls. The motion carried. (Trustee Mark Ricks opposed the vote.)

CAPITAL PROJECTS APPROVAL

Mr. Katers presented the following capital projects for approval. The first three projects are from the current integrated priority list and funded by carryforward funds. Cone Residence Hall Roof will be funded by housing receipts and the Belk Library Maker Space and Idea Factory will be funded by the education and technology student fee.

1. Holmes Convocation Center Fire Alarm Replacement and Roof Repairs	\$1,031,676
2. Holmes Convocation Center Stairs and Entrance Repairs	1,000,000
3. Anne Belk Hall Envelope Repairs	1,000,000
4. Cone Residence Hall Roof Phase 2	499,000
5. Belk Library Maker Space and Idea Factory	<u>400,000</u>
TOTAL	\$3,930,676

Following discussion, the motion was made and seconded to approve these capital projects as presented. The motion carried.

LEASE APPROVALS:

Mr. Katers presented the following information for lease approvals:

(1) Carolina West Wireless, Inc.

Address: 473 Bodenheimer Drive, Boone, NC

Purpose: Relocates Carolina West from now-demolished Gardner Hall rooftop site to the newly-constructed monopole on Bodenheimer Drive

Benefits: Improves cellular services for campus
Millennial status allows 100% of lease proceeds to be reinvested on campus

Term: Up to 15-years; 5-year initial term, with two additional renewals of 5-years
October 1, 2021 – September 30, 2026

Rental Amount: \$43,020 per year, yearly escalation of 4%

(2) New Cingular Wireless PCS, LLC; dba AT&T

Address: 473 Bodenheimer Drive, Boone, NC

Purpose: Relocates AT&T from its current location on Bowie Hall, which is scheduled to be demolished summer 2022, to the new monopole on Bodenheimer Drive

Benefits: As above

Term: Up to 30-years; 10-year initial term, with two renewals of 10-years each

Rental Amount: \$57,674 per year, yearly escalation of 4%

Following discussion, the motion was made and seconded to approve the leases as presented. The motion carried. (Trustee Kimberly Shepherd recused herself from discussion and voting on this action item.)

CAPITAL PROJECTS UPDATE:

Mr. Katers presented a capital projects update.

ADJOURNMENT:

There being no further business, the meeting adjourned at 10:30 a.m.

Respectfully submitted,

Diane Pitts
Recording Secretary

MINUTES
MEETING OF THE STUDENT AFFAIRS COMMITTEE
APPALACHIAN STATE UNIVERSITY
BOARD OF TRUSTEES

Friday, September 24, 2021
Appalachian State University
Plemmons Student Union
Rough Ridge 415

CALL TO ORDER: The Student Affairs Committee of the Board of Trustees of Appalachian State University met at the call of Chairperson on Friday, September 24, 2021 at 11:00 a.m. on the campus of Appalachian State University in Boone, North Carolina. Chair Reaves presided and called the meeting to order.

MEMBERS PRESENT:

Fairley Bell Cook
Bailey Gardin
James K. Reaves
Steve Wyatt

MEMBERS PRESENT VIA ZOOM:

Bonnie Schaefer

OTHERS PRESENT:

George Baldwin
Jane Barghothi
Cindy Barr
J.J. Brown
Jeff Cathey
Crawford Cleveland
Matthew Dockham
Matt Dull
Lori Eggers
Mark Ginn
Alex Howard
Nick Katers
Scott Lampe
Christian Martin
Evan Martino
Adryona Nelson
Heather Norris
Anna Oakes
Jamie Parson
Mark Ricks
Traci Royster
Tommy Sofield
Meghan Wills

CONFLICT OF INTEREST NOTICE: Chair Reaves read the following statement: Under the State Government Ethics Act, all voting members of the Board of Trustees have a duty to avoid conflicts of interest and appearances of conflicts. Looking at the agenda for today's meeting, does anyone know that you have a conflict of interest or an interest that would give rise to the appearance of a conflict of interest? If so, please let me know now." (None reported.)

EXPECTATIONS OF CONDUCT: Chair Reaves read the following statement regarding conduct at the meeting: "As we begin the business portion of the meeting, I would like to remind everyone of the Committee's expectations for conduct at our meetings. We ask that those attending today remain respectful of fellow attendees and the Committee. Those attending an official meeting may not engage in conduct that is inconsistent with our Bylaws or that interferes with the rights of others to observe and listen to the proceedings. Any individual who disrupts the meeting will be asked to leave and may be subject to arrest."

ROLL CALL: Chair Reaves asked Lori Eggers to call roll to verify members present.

APPROVAL OF MINUTES: Chair Reaves stated the March 26, 2021, Student Affairs Committee minutes were provided as an attachment in BoardEffect. Upon motion duly made and seconded, the minutes were approved as presented.

STUDENT GOVERNMENT UPDATES: Mr. Bailey Gardin, Student Government Association President for the 2021-22 term, shared goals and initiatives they have for the year. He focused on the current Student Government Association's four pillars: engagement, wellness, accountability and equity. He shared ways students could be engaged in campus activities, programs, and new communication methods to reach students. Mr. Gardin spoke to the importance of student wellness and holistic health, and ways to support all students.

CAPITAL PROJECTS UPDATE: Mr. Matt Dull, Associate Vice Chancellor for Student Affairs, then provided an update on capital projects within Student Affairs. Mr. Dull shared images of the newly relocated Career Development Center in the Student Union. Mr. Dull provided an update on the Child Development Center expansion project which is scheduled for completion in February 2020. At that time, there will be a phased opening of classrooms as approved by public health and licensed by the state. And lastly, the Committee heard on the progress of the Housing project. Phase I and II are complete which included Thunder Hill Hall, Raven Rocks Hall, Laurel Creek Hall and the demolition of Justice Hall. Phase III is in progress which includes the construction of New River Hall at the former Justice Hall site, and the demolition of Eggers, Bowie, Gardner, and Coltrane Halls.

STUDENT AFFAIRS UPDATES: Lastly, Mr. J.J. Brown, Vice Chancellor for Student Affairs, shared some images and stories from the beginning of the semester. He shared that students are excited to be back in person and engaged in campus life.

Respectfully submitted,

Lori Eggers
Recording Secretary